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1 **Transcranial direct current stimulation facilitates response inhibition through dynamic**
2 **modulation of the fronto-basal ganglia network**

3 Abbreviated title: Brain stimulation and response inhibition

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19

20 **Abstract**

21 Background: Response inhibition refers to the ability to stop an on-going action quickly when
22 it is no longer appropriate. Previous studies showed that transcranial direct current
23 stimulation (tDCS) applied with the anode over the right inferior frontal cortex (rIFC), a
24 critical node of the fronto-basal ganglia inhibitory network, improved response inhibition.
25 However, the tDCS effects on brain activity and network connectivity underlying this
26 behavioral improvement are not known.

27

28 Objective: This study aimed to address the effects of tDCS applied with the anode over the
29 rIFC on brain activity and network functional connectivity underlying the behavioral change
30 in response inhibition.

31

32 Methods: Thirty participants performed a stop-signal task in a typical laboratory setting as a
33 baseline during the first study visit (i.e., Session 1). In the second visit (at least 24 hours after
34 Session 1), all participants underwent resting-state functional magnetic resonance imaging
35 (rsfMRI) scans before and after 1.5 mA tDCS (Anodal or Sham). Immediately following the
36 post-tDCS rsfMRI, participants performed the same stop-signal task as in Session 1 during an
37 event-related fMRI (efMRI) scan in a 3T scanner. Changes in task performance, i.e., the stop-
38 signal response time (SSRT), a measure of response inhibition efficiency, was determined
39 relative to the participants' own baseline performance in Session 1.

40

41 Results: Consistent with previous findings, Anodal tDCS facilitated the SSRT. efMRI results
42 showed that Anodal tDCS strengthened the functional connectivity between right pre-
43 supplementary motor area (rPreSMA) and subthalamic nuclei during Stop responses. rsfMRI
44 revealed changes in intrinsic connectivity between rIFC and caudate, and between rIFC,

45 rPreSMA, right inferior parietal cortex (rIPC), and right dorsolateral prefrontal cortex
46 (rDLPFC) after Anodal tDCS. In addition, corresponding to the regions of rsfMRI
47 connectivity change, the efMRI BOLD signal in the rDLPFC and rIPC during Go responses
48 accounted for 74% of the variance in SSRT after anodal tDCS, indicating an effect of tDCS
49 on the Go-Stop process.

50

51 Conclusion: These results indicate that tDCS with the anode over the rIFC facilitates
52 response inhibition by modulating neural activity and functional connectivity in the fronto-
53 basal ganglia as well as rDLPFC and rIPC as an integral part of the response inhibition
54 network.

55

56 Key words: tDCS; fMRI; brain stimulation; prefrontal cortex; response inhibition; inhibitory
57 control

58 **Introduction**

59 Response inhibition refers to the ability to stop an on-going action quickly when it is no
60 longer appropriate (1) and is one of the core components of the human executive function
61 that regulates the dynamics of actions (2). This ability may be significantly impaired after
62 brain injuries or disorders affecting the fronto-basal ganglia inhibitory circuits, such as
63 traumatic brain injury, drug addiction, attention deficit/hyperactivity disorder, and obsessive-
64 compulsive disorder (3-9).

65

66 A common research paradigm to measure the ability to stop a response rapidly is the stop-
67 signal task (1, 10). During this task, subjects are instructed to respond as quickly as possible
68 to the primary Go stimuli. Occasionally, a stop signal is presented (e.g. a visual cue) shortly
69 after the onset of a primary Go stimulus. Subjects are instructed to try to stop their response
70 as soon as the stop signal appears. The efficiency of response inhibition is estimated using the
71 stop-signal response time (SSRT) (10, 11). Shorter SSRT indicates more efficient response
72 inhibition.

73

74 Accumulating evidence has shown that stopping an on-going response engages a fronto-basal
75 ganglia network which includes the right inferior frontal cortex (rIFC), the pre-supplementary
76 motor area (preSMA), and the basal ganglia, especially the subthalamic nucleus (STN) (7, 12,
77 13). The importance of rIFC has been supported by lesion (Aron et al., 2003) and transcranial
78 magnetic stimulation (TMS) studies (14-16). Specifically, disruption of the rIFC decreased
79 the efficiency of response inhibition (i.e. longer SSRT). Other studies further showed that
80 transcranial direct current stimulation (tDCS) using a cephalic montage with the anode over
81 the rIFC and cathode over the left supraorbital region improved response inhibition (i.e.

82 shorter SSRT) (17-20). However, the tDCS effects on brain activity and network connectivity
83 underlying this behavioral improvement are not known.

84

85 Like other non-invasive brain stimulation techniques such as TMS (21, 22), tDCS influences
86 interactions between interconnected brain regions beyond the targeted area (23-25). For
87 example, it has been shown that tDCS applied with the anode over the preSMA, another
88 critical node of the fronto-basal ganglia network (16, 26), increased functional coupling
89 between the preSMA and ventromedial prefrontal cortex and facilitated response inhibition
90 (25). Uncovering the effects of tDCS on brain activity and functional connectivity (27)
91 associated with response inhibition is important not only for understanding the tDCS effects
92 on this brain function but also for the development of non-invasive strategies for patients with
93 impaired ability in inhibitory control.

94

95 In this study, subjects performed an identical stop-signal task in two experimental sessions
96 separated by at least 24 hours. The task performance in the first session (i.e., Session 1)
97 served as the baseline measure of the efficiency of response inhibition (i.e. SSRT) without
98 tDCS. In the second session (i.e., Session 2), resting-state functional magnetic resonance
99 imaging (rsfMRI) was acquired before and after tDCS (Anodal or Sham) using an electrode
100 montage (i.e., the anode over the rIFC and cathode over the left supraorbital region)
101 previously shown to improve response inhibition (17-20). Immediately after the second
102 rsfMRI, subjects performed the same stop-signal task again during an event-related fMRI
103 (efMRI) (see Figure 1). The baseline SSRT of each participant from Session 1 was subtracted
104 from that in Session 2 (see the Statistical Analysis section below for reasons using Session 1
105 as baseline). The difference (Δ) in the SSRT between Session 2 and Session 1 (SSRT) was
106 the primary outcome measure of tDCS effect on response inhibition.

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109
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Insert Figure 1 about here

111 **Methods and Materials**

112 *Participants*

113 Forty-one healthy human volunteers were enrolled in this study. Eleven subjects were
114 excluded from the final analysis (three subjects showed cysts in the structural MRI and eight
115 did not complete both sessions or had technical problems). All remaining 30 subjects (7
116 males and 8 females in each group; mean age of the Anodal tDCS group = 26, SD= ±4; mean
117 age of the Sham group = 27, SD= ±6) had a normal structural MRI, neurological
118 examination, and were right-handed based on the evaluation with the Edinburgh Handedness
119 Inventory (28). All subjects gave their written informed consent to participate in the study,
120 which was approved by the Combined Neuroscience Institutional Review Board at the
121 National Institutes of Health (NIH) and in accordance with the Declaration of Helsinki.
122 Subjects received monetary compensation for their time participating in the study.

123

124 *Transcranial direct current stimulation (tDCS)*

125 tDCS is a portable device which uses a constant low-intensity current (between 1 and 2 mA)
126 delivered directly to the cortex via surface electrode pads with an anode and a cathode (29).
127 tDCS applied with the anode over the primary motor cortex increases cortical excitability
128 (30) and may facilitate behavioral performance (31). In this study, a battery-powered tDCS
129 stimulator (1x1, Soterix Medical Inc., New York, US) delivered constant current at 1.5 mA
130 for 20 minutes (with a ramping period of 20 seconds at the beginning and at the end of the
131 stimulation) through a pair of saline-soaked sponge electrodes ($5 \times 5 \text{ cm}^2$). The current

132 density (0.06 mA/cm^2) was maintained below safety limits (32). The anodal electrode pad
133 was placed over the rIFC centering over the pars opercularis (i.e. cortex posterior to the
134 ascending ramus of the lateral fissure), which is the region most commonly implicated in
135 response inhibition (33). The anatomical locus of the pars opercularis was localized using
136 each subject's T1 structural MR images at the beginning of Session 2 with a frameless
137 stereotactic neuronavigation system (Brainsight, Rogue Research Inc., Montreal, Canada). This
138 target location was then marked on the scalp using a red ink pencil. The cathodal electrode
139 pad was placed above the left supraorbital area as done in previous studies that demonstrated
140 enhanced response inhibition with the same cephalic montage (17-19). The electrodes were
141 secured using elastic bands.

142

143 All subjects were naïve to the tDCS procedures. They were told prior to the study that each
144 individual might have different sensitivity to the tDCS stimulation. For the Sham stimulation,
145 the tDCS montage was the same as the Anodal condition, but the current was turned off 20
146 seconds after the beginning of the stimulation and was turned on for the last 20 seconds of the
147 stimulation period. This procedure allowed subjects to feel the sensations (e.g. itching) below
148 the electrodes at the beginning and at the end of the stimulation, making it difficult for naïve
149 subjects to distinguish sham from real stimulation (34). Potential tDCS side effects were
150 assessed with a questionnaire administered immediately at the end of the experimental
151 session. Subjects were required to evaluate intensity of several perceptual sensations (i.e.,
152 itching, pain, burning, tingling, discomfort, headache, fatigue, inattention) through a 6-point-
153 scale (i.e., 0 = none, 1 = mild, 2 = moderate, 3 = considerable, 4 = strong, 5 = very strong).

154

155

156

157 ***MRI***

158 The structural MRI and fMRI scans were performed on a Siemens 3T PET/MRI scanner
159 (with Biograph mMR software VB18P, Siemens, Erlangen, GER). For the resting-state fMRI
160 (rsfMRI), 150 volumes were acquired using a gradient echo-planar-imaging (EPI) sequence
161 with interleaved acquisition. The scan parameters were: TR = 2000 ms, TE = 25 ms, flip
162 angle = 90°, FOV = 24 cm, acquisition matrix = 64 × 64, slice thickness = 4 mm, and 34 axial
163 slices. For the efMRI, 476 volumes were acquired with the same EPI scan parameters as for
164 the rsfMRI. A fieldmap from a double-echo gradient echo sequence was collected for post-
165 scan EPI distortion correction (TR = 1000 ms, TE1 = 3.97 ms, TE2 = 6.43 ms, FOV = 240
166 mm, slice thickness = 4 mm, design matrix = 64 × 64, flip = 55°). A whole-brain T1-weighted
167 anatomical image was also acquired at the end of the MRI session (about 6 min) using the
168 magnetization prepared rapid gradient echo (MPRAGE) sequence (TR = 3260 ms, TE = 2.26
169 ms, FOV = 256 mm, slice thickness = 1 mm, design matrix = 256 × 256).

170

171 ***Experimental design***

172 Subjects were randomly assigned to the Anodal or Sham groups. Each subject attended two
173 experimental sessions separated by at least 24 hours (Anodal: Mean = 6.6, SD = 6.98 days;
174 Sham: Mean = 7.3, SD = 5.7 days; $t(28) = -.315$ $p = 0.75$) (see Figure 1). In Session 1
175 (baseline), subjects performed a stop-signal task (Xu et al., 2016) in a quiet testing room
176 without tDCS. They were instructed to stop a response when a visual cue (i.e., a stop-signal)
177 appeared after the response (Go) stimulus onset. The stimulus was either a left or right
178 pointing arrow with a “+” sign in the middle. Subjects were instructed to respond as quickly
179 as possible according to the arrow direction by pressing either the left or the right key on a
180 response box with their right index finger. For 25% of the trials, the “+” sign turned red (i.e.,
181 the stop-signal) after the stimulus onset with a short delay (i.e., the stop-signal delay or SSD).

182 The inter-stimulus-interval (ISI) between trials was jittered with an average about 4 sec
183 (range 2-6 sec). The SSD was dynamically controlled based on whether a successful (Stop) or
184 an unsuccessful (Stop-respond) response was made. The SSD was set at 150 milliseconds
185 (ms) (the shortest SSD) for the first Stop trial, and the longest possible SSD was 450 ms. The
186 SSRT, a measure of the efficiency of response inhibition, was estimated for each participant
187 by subtracting the mean SSD from the n^{th} fastest RT (where n is the percentile corresponding
188 to the probability of the Stop-respond trials) of the primary Go responses (35). The same
189 procedures for estimating the mean of SSD and SSRT were applied to all participants in both
190 groups and sessions. The total length of the stop-signal task was about 15 minutes.

191

192 In Session 2, subjects were given two rsfMRI scan runs of 5 minutes each (i.e., pre- and post-
193 tDCS) followed by an efMRI run (15:52 min) during which the subjects performed the stop-
194 signal task identical to that performed during Session 1. About 5 minutes after the end of the
195 first rsfMRI, tDCS (Anodal or Sham) was delivered for 20 minutes outside of the MR
196 scanner. The second rsfMRI scan started about 5 minutes after the tDCS session. The efMRI
197 started immediately after the second rsfMRI scan (about 10 minutes after the end of the tDCS
198 session) (see Figure 1).

199

200 *Statistical Analysis*

201 *Behavioral task*

202 In all data analyses, Go RTs \geq two standard deviations of the mean within each subject were
203 considered as “outliers” and were replaced by the mean (average outliers, Session 1: Anodal
204 = 4.4%; Sham = 4.6%; Session 2: Anodal = 4.1%; Sham = 4.3%). RTs \leq 100 ms were
205 considered as an error response.

206

207 The effect of tDCS on response inhibition was estimated by the difference (Δ) of the within-
208 group change in the SSRT between Session 2 and Session 1 (i.e., the $\Delta\text{SSRT} = \text{SSRT}$
209 [Session 2] – SSRT [Session 1]). This subtraction method effectively normalized each
210 participant's post-tDCS SSRT to his/her pre-tDCS baseline. It also took into account the
211 tendency that SSRT and GoRT tend to be longer in the fMRI scanner environment than in a
212 quiet testing room (36). ΔSSRT allows an estimation of the effect of tDCS on the efficiency
213 of the response inhibition between the Anodal and Sham group, that is, a shorter ΔSSRT
214 indicates more effective inhibitory control in Session 2. The difference in the Go RTs
215 between Session 2 and Session 1 (ΔGoRT), a measure of the overall response speed, served
216 as a control condition to evaluate whether the effect of tDCS was specific for response
217 stopping. We analysed the effect of anodal tDCS relative to Sham on ΔSSRT and ΔGoRT
218 using two-sample t-tests (2-tailed) (Statistix software version 10 was used to perform the
219 analyses).

220

221 *fMRI data processing and analysis*

222 The rsfMRI and efMRI data were preprocessed using the SPM12 software (the Wellcome
223 Department of Imaging Neuroscience, University College London, UK). All images were EPI
224 distortion corrected with gradient echo EPI fieldmaps collected after the first rsfMRI and
225 after the efMRI. All images within a participant were slice-timing corrected, realigned
226 together, and coregistered with the participant's own high resolution T1 anatomical image.
227 All subjects' T1 images were combined to generate a T1 template using the DARTEL
228 software and procedures, and normalized to the MNI (Montreal Neurological Institute,
229 Canada) template. The normalization parameters from each participant were then applied to
230 the normalization of the participant's own EPI images. The normalized EPI images were
231 smoothed using an $8 \times 8 \times 8$ mm FWHM kernel.

232

233 For the rsfMRI runs, the SPM12 preprocessed images were imported into the CONN
234 software (created by MIT: The Gabrieli Lab at the McGovern Institute for Brain Research)
235 (37) and a whole-brain seed-to-voxel based functional connectivity analysis was performed at
236 the second level using the rIFC (pars opercularis) as an a priori seed region of interest (sROI).
237 A binary sROI mask of the rIFC was created in SPM12 using the WFU PickAtlas software
238 (by the Functional MRI Laboratory at the Wake Forest University School of Medicine, NC).
239 The purpose for using the rIFC as a seed ROI was to examine the effect of the Anodal tDCS,
240 relative to the Sham group, on changes in the task-free functional connectivity. The rsfMRI
241 data were de-noised and bandpass filtered at 0.01-0.15 Hz prior to the functional connectivity
242 analysis. The functional connectivity analysis was carried out using the “weighted-GLM”
243 method in CONN and the whole-brain seed-to-voxel analysis at the second level (height
244 threshold $p < 0.001$; extent threshold $FDR < 0.05$) using the contrasts: 1) Anodal: Post – Pre;
245 and 2) Sham: Post – Pre, looking at within-subject changes in functional connectivity post-
246 tDCS vs Sham.

247

248 For the efMRI with the stop-signal task, the preprocessed images were first analyzed with
249 SPM12 software. The first-level design matrix included four response types (Go, Stop, Stop-
250 respond, and error response), Rest, and six motion parameters. The efMRI activation was
251 modeled using the canonical hemodynamic response function (HRF) with temporal and
252 dispersion derivatives. The data were high-pass filtered at 128 sec and the event duration for
253 response types was set at 1 sec and for Rest 9 sec. The Rest period served as a baseline for all
254 contrasts with response types. Contrasts from first-level analysis were fed into the second-
255 level group analysis using two-sample t tests and the “flexible factorial” design option with a
256 main effect of Subject and an interaction term (i.e., Group [Anodal vs Sham] \times Response

257 [Stop vs Go]). In addition, ROI-to-ROI functional connectivity analyses were carried out
258 using the CONN software with the generalized Psycho-Physiological Interaction (gPPI) (37)
259 focusing on three critical links in the fronto-basal-ganglia network: the rPreSMA – rIFC,
260 rPreSMA – STN, and rIFC - STN. The ROI-to-ROI analyses were carried out with the
261 contrast: Anodal – Sham. The locus of the rPreSMA ROI ($xyz = 10, 10, 60$; 6 mm radius)
262 was based on a recent TMS-fMRI study demonstrating its connectivity within the fronto-
263 basal-ganglia network (38). The rIFC and STN ROIs were extracted using the WFU
264 PickAtlas software (by the Functional MRI Laboratory at the Wake Forest University School
265 of Medicine, NC) with the Automated Anatomical Labelling toolbox. All fMRI activation
266 results were significant based on voxel-wise analyses corrected for multiple comparisons
267 (FDR $p < 0.05$).

268

269 **Results**

270 *Sensations related to tDCS*

271 The sensations scores reported by the Anodal group were similar to the sensations scores
272 reported by the Sham group (Anodal group: mean = 1.3, SD = 1.9, Sham group: mean = 1.22,
273 SD = 1.9; $Z = 0.28$, $p = 0.77$).

274

275 *Behavioral results*

276 On average, the subjects made 50.4% (SD ± 6.35) of stop responses (i.e., successfully
277 stopped responses) in Session 1: Anodal group = 48.4% (SD ± 2.8); Sham group: 51.8% (SD
278 ± 7.81); and 46.84% (SD ± 5.94) of stop responses in Session 2: Anodal group = 47.5% (SD
279 ± 5.34); Sham group = 46.5% (SD ± 6.4). Regarding the baseline (Session 1) performance,
280 two-sample t-test (2-tailed) showed no significant differences in SSRT and GoRT between

281 the Anodal and Sham groups (SSRT: $t_{(28)} = 1.15$, $p = 0.25$; Go RTs: $t_{(28)} = -1.20$, $p = 0.24$)
282 (see Table 1).
283
284 Planned t-tests (2-tailed) on the difference scores of response time between Session 2 and
285 Session 1 (i.e. the SSRT and GoRT) showed a significant difference between the Anodal
286 and Sham tDCS groups (SSRT: $t_{(28)} = -2.29$, $p = 0.03$), with shorter SSRT (indicating
287 better inhibitory performance) for the Anodal group (40 ms [± 27]) post-tDCS compared to
288 the Sham group (65 ms [± 33]) (Figure 2a-b). In addition, no significant differences were
289 observed between groups with the GoRT (Anodal group = 25 ms [± 28]; Sham group = 21
290 ms [± 70]; $t_{(28)} = 0.20$, $p = 0.84$) (see Figure 2a). Anodal tDCS improved SSRT but not the
291 overall response speed measured by GoRT. This finding suggests behavioral specificity for
292 response inhibition (Logan, 1994).

293
294 The fact that SSRT and GoRT in session 2 (inside the MRI scanner) were prolonged
295 compared to those in Session 1 (quiet testing room) is consistent with previous findings (36).
296 The authors reported that when participants performed a perceptual decision-making task
297 both in a regular laboratory setting as well as inside the fMRI scanner, the results consistently
298 showed that response times increased inside the scanner (36).

299 -----

300 Insert Table 1 about here

301 -----

302 -----

303 Insert Figure 2 about here

304 -----

305

306 *fMRI results*

307 rsfMRI. The results of the whole-brain rsfMRI functional connectivity analysis using the
308 rIFC as the Seed ROI revealed a significant increase (voxel-level threshold $p < .001$; FDR $p <$
309 $.05$) in the functional connectivity post-tDCS between the rIFC and the caudate (left: xyz = -
310 16, -3, 20; right: xyz = 20, -4, 18). This increase in connectivity was only observed in the
311 Anodal tDCS group (Figure 3).

312 -----
313 Insert Figure 3 about here
314 -----

315 efMRI. The efMRI whole-brain analysis showed similar patterns of brain activation during
316 the Go and Stop responses in both the Anodal and Sham groups (Figure 4). The patterns of
317 activation were consistent with previous findings using stop-signal tasks (12, 39, 40). For
318 both the Anodal and Sham group, Go responses activated primarily the left M1 and
319 cerebellum, while Stop responses induced significant activation in the rIFC and the basal-
320 ganglia. There were no statistically significant differences observed between groups in BOLD
321 signals. These results indicate that tDCS with the anode over the rIFC in this study did not
322 significantly alter globally the functioning of the fronto-basal-ganglia network during the
323 primary Go and Stop responses.

324 -----
325 Insert Figure 4 about here
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327 However, results of the functional connectivity analysis using a priori regions of interest
328 (ROI)-to-ROI focusing on the three critical links in the fronto-basal ganglia network: the
329 rPreSMA – rIFC, the rPreSMA – STN, and rIFC – STN showed a significant increase in
330 connectivity between the rPreSMA and STN (voxel threshold $p < 0.001$; cluster FDR < 0.05)

331 in the Anodal group ($0.11 [\pm 0.04]$) during the Stop responses relative to that in the Sham
332 group ($-0.03 [\pm 0.03]$) ($t_{(28)} = 2.87, p = 0.01$) (Figure 5). No statistically significant changes in
333 functional connectivity were observed during the Go responses.

334

335

336

Insert Figure 5 about here

337

338 To further examine the tDCS effect on brain activation and its relation to the SSRT and
339 rsfMRI connectivity as an exploratory step, BOLD signal values (i.e., first eigenvalues of
340 clusters) that showed significant ($FDR < 0.05$) differences between Stop and Go responses
341 across the Anodal and Sham groups were extracted. The eigenvalues of the significant
342 clusters were extracted by applying a binary ROI mask that included only regions showing an
343 interaction in rsfMRI functional connectivity (i.e., [Anodal - Sham] - [Stop - Go]; see Figure
344 6a) using a seed [rIFC] to whole-brain functional connectivity analysis. The ROI analysis
345 resulted in three significant clusters/regions: rPreSMA ($xyz = 11, 27, 39$), rIPC ($xyz = 43, -$
346 $54, 42$), and rDLPFC ($xyz = 43, 27, 36$). A mixed repeated measures ANOVA (mRANOVA)
347 including all three regions showed a significant main effect of Group ($F_{(1,28)} = 5.59, MSe =$
348 $1.729, p = 0.025$) and Group by Region interaction ($F_{(1,28)} = 3.32, MSe = 0.433, p = 0.043$)
349 during the Stop responses. No significant differences were observed in Go responses.
350 Separate two-sample t-tests (2-tailed) showed that the BOLD activation in the rIPC and
351 rDLPFC were significantly lower in the Anodal than the Sham group (rIPC: $t_{(1,28)} = -2.02, p =$
352 0.05 ; rDLPFC: $t_{(1,28)} = -2.73, p = 0.01$) (Figure 6b).

353

354

Insert Figure 6 about here

355

356 To examine the relationship between the effects of tDCS on brain activity in these regions
357 and the efficiency of response inhibition, all three regions were entered in two separate
358 multiple linear regression models for the Anodal and Sham group with the SSRT from the
359 efMRI session as the dependent variable. The two regression models included either the three
360 clusters with the BOLD signals from the Stop responses as the predictor variables or those
361 from the Go responses as the predictor variables. The results showed that for the Anodal
362 tDCS group, only BOLD activation during the Go responses in the rIPC and rDLPFC was
363 predictive of SSRT (Table 2a). Similar regression results were not observed for the Sham
364 group. The regression coefficients of determination (R^2) associated with the rIPC and
365 rDLPFC accounted for 74% (partial R^2 : rIPC = 0.34; rDLPFC = 0.40) of the variance in the
366 SSRT of the Anodal group. However, the regression analyses of the Sham condition showed
367 that the BOLD signal in the rPreSMA and rIPC during the Stop responses were predictive of
368 the SSRT (Table 2b). This is not surprising for the Sham condition as the rPreSMA, in
369 particular, has been shown to be a critical node for rapid response inhibition (12, 16, 41-43).

370 -----
371 Insert Table 2 about here
372 -----

373

374 **Discussion**

375 Consistent with the findings of previous tDCS studies that used the same cephalic montage
376 (17-19), tDCS with the anode over the rIFC facilitated response inhibition relative to Sham
377 tDCS. More importantly, the behavioral effect was specific to the speed of inhibition
378 (SSRT) and not general to all responses (GoRT).

379

380 The brain activation and connectivity results further indicated that anodal tDCS induced
381 significant changes in the neural dynamics of functional connectivity between the targeted
382 rIFC and subcortical regions (e.g., caudate) as well as brain activity in other regions (e.g.,
383 rDLPFC and rIPC). These observations are consistent with previous studies that have also
384 reported the involvement of the dorsolateral prefrontal cortex (DLPFC) and inferior parietal
385 cortex (IPC) (44-46). Dynamic changes in brain activity induced by anodal tDCS
386 strengthened the functional connectivity between the rPreSMA and STN, the hyperdirect
387 pathway of the fronto-basal ganglia network mediating rapid response inhibition (7, 12, 47).

388

389 These results suggest that tDCS with the anode over the rIFC induces dynamic neural
390 modulation in the rIFC, rDLPFC, rPreSMA, basal ganglia, and their interconnected brain
391 regions (e.g., rIPC). This dynamic neural modulation is likely to influence not only
392 spontaneous brain activity but also the strength of functional connectivity between
393 interconnected network nodes, which in turn, enhances information processing efficiency and
394 network synchronization (e.g., rPreSMA – STN) critical to achieving rapid response
395 inhibition. The specificity of the tDCS effect with the anode positioned over rIFC on
396 response inhibition is likely, in part, due to the presence of direct white matter connections
397 between the rIFC, the rPreSMA, and the basal ganglia (48-51). There is evidence that the
398 efficiency of response inhibition (i.e. SSRT) correlates with the microstructural white-matter
399 properties of these tracts (e.g., fractional anisotropy, fiber length, and mean diffusivity) (38,
400 50, 52, 53). However, it should be pointed out that, due to the electrode montage employed in
401 our study and the non-focal nature of tDCS, we cannot rule out that the observed results
402 reflect a combined effect of stimulation of the rIFC and other regions of the frontal cortex
403 such as the frontopolar region.

404

405 The rsfMRI results further indicated that anodal tDCS strengthened the intrinsic/spontaneous
406 functional connectivity between the rIFC and caudate, a part of the fronto-basal-ganglia
407 network engaged in rapid stopping responses (7, 12). These changes in spontaneous brain
408 activation patterns and connectivity strength within the fronto-basal-ganglia network likely
409 contributed to the efficiency in performing the task during efMRI. As the results showed, the
410 anodal tDCS significantly increased the strength of the functional connectivity during Stop
411 responses between the rPreSMA and STN, a critical pathway for rapid stopping responses
412 (12). In addition, the results of the study suggest that changes in functional connectivity may
413 be coupled with changes in task-related brain activity consequential to specific cognitive
414 processes, in this case, response inhibition. This is evidenced by the effects of the tDCS on
415 the rsfMRI functional connectivity that were, to some extent, coincided with the BOLD
416 signal change in the rDLPFC and rIPC during Stop responses (Figure 6), and that activity in
417 these brain regions during Go responses was predictive of the efficiency of stopping.

418

419 Previous tDCS-fMRI studies reported that anodal tDCS was able to increase cortical
420 excitability and enhance behavioral performance by reducing brain activity and modulating
421 functional or effective connectivity (54-57). Findings in a recent study combining tDCS with
422 large-scale neurophysiological recordings from monkeys were consistent with the
423 observation that tDCS induces functional change by dynamic modulation of functional
424 connectivity (58). Clearly, anodal tDCS affected not just the functioning of the fronto-basal-
425 ganglia network critical to the stopping response. Results from both our rsfMRI and efMRI
426 showed some evidence of modification in brain activity and functional connectivity in the
427 fronto-parietal regions including the rDLPFC and rIPC.

428

429 A previous analysis (59) of 70 published inhibitory control studies also showed that rIFC had

430 stronger intrinsic and task-evoked functional connectivity with key nodes of the fronto-
431 parietal network, including DLPFC and IPC. Some evidence suggests that this network may
432 play a role in maintaining task-relevant information for rapid adjustment of response control
433 (60). Although the exact role of these regions in rapid response inhibition remains to be
434 determined, previous findings suggest that the rDLPFC and the posterior parietal cortex may
435 be engaged in rapid response inhibition via an enhanced preparatory or goal-directed process
436 (44-46, 61-63). Our results showed that the BOLD activation in the rDLPFC and rIPC during
437 the Go responses accounted for 74% of the variance in the SSRT (Table 2a). This is
438 consistent with previous observations that preparatory process or proactive control facilitates
439 response inhibition and shortens the SSRT (64). It is possible that rDLPFC and rIPC
440 facilitated rapid stopping responses by modulating the response tendency (12). The
441 occasional occurrence of the Stop signal in the task induces the proactive control of sustained
442 attention on the Go process in order to maintain task goals (i.e., to avoid responding too
443 quickly that it becomes difficult to withhold a response when the Stop signal is detected) (45,
444 65, 66). Evidence from intracranial electroencephalography (44) revealed that activity in the
445 rDLPFC occurred around the presentation of a task cue and/or the Go cue, while activity in
446 the rIPC was present more consistently after the Go cue prior to the motor response. These
447 different temporal profiles suggest that the rDLPFC may be engaged in task goals while rIPC
448 implements action control (44). The current results further demonstrate that both the rDLPFC
449 and rIPC are an integral part of the fronto-basal-ganglia network critical for rapid response
450 inhibition. Understanding how the tDCS affects brain activity and network functional
451 connectivity as well as task related mechanisms underlying the facilitatory effect on response
452 inhibition provides the potential for developing non-invasive brain stimulation interventions
453 to improve this critical cognitive function in patients with deficits in the fronto-basal ganglia
454 inhibitory control circuits. Future studies may overcome potential limitations of the current

455 design by considering a within-subject design and better stimulation controls, and, if possible,
456 delivering tDCS with task performance inside the scanner.

457

458 **Conclusions**

This study shows for the first time that tDCS with the anode over the rIFC and the cathode over the left supraorbital region facilitates response inhibition by modulating brain activity and functional connectivity in the fronto-basal-ganglia network. In addition, the results of the efMRI support the observation that the rDLPFC and rIPC are an integral component of the response inhibition network.

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459

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649 **Figure Captions:**

650 **Figure 1.** Figure 1 shows the schematic illustration of the experimental procedure. Subjects
651 performed the stop-signal task without tDCS in a testing room during Session 1 (baseline). In
652 Session 2 (at least 24 hours after Session 1), resting-state fMRI (rsfMRI) data were acquired
653 before and after tDCS (real or sham) with the anode over the rIFC. tDCS was applied outside
654 of the MR scanner using a bipolar montage with the cathode placed over the left supraorbital
655 area. Immediately after the second rsfMRI scan, the participants performed the stop-signal
656 task again during the fMRI scan.

657

658 **Figure 2.** Figure 2a shows the tDCS effects on behavioral responses. Note shortening of delta
659 SSRT in the anodal tDCS group relative to the Sham group using two-tailed two-sample t-
660 tests.

661 Figure 2b shows the individual SSRTs of Anodal and Sham groups in Session 1 (baseline)
662 and Session 2.

663

664 **Figure 3.** Figure 3 shows the rsfMRI activation clusters in the T1 sagittal MRI images that
665 had the significant increase (voxel-level threshold $p < .001$; FDR $p < .05$) in functional
666 connectivity post-tDCS between rIFC and left and right caudate in the Anodal group (i.e.,
667 post-tDCS – pre-tDCS). The bar graph shows the mean increases (Fisher's Z scores) in the
668 strength of the functional connectivity post-tDCS.

669

670 **Figure 4.** Figure 4 shows brain activation patterns of Go and Stop responses in the Anodal
671 and Sham groups post-tDCS (corrected for multiple comparisons FDR < 0.05, cluster Ext =
672 50). There were no significant statistical differences in the BOLD signal between groups
673 using whole brain voxel-wise analyses. Cereb = cerebellum, M1 = primary motor cortex,
674 STN = subthalamic nucleus, rPreSMA = right pre-supplementary motor area, rIPC = right
675 inferior parietal cortex.

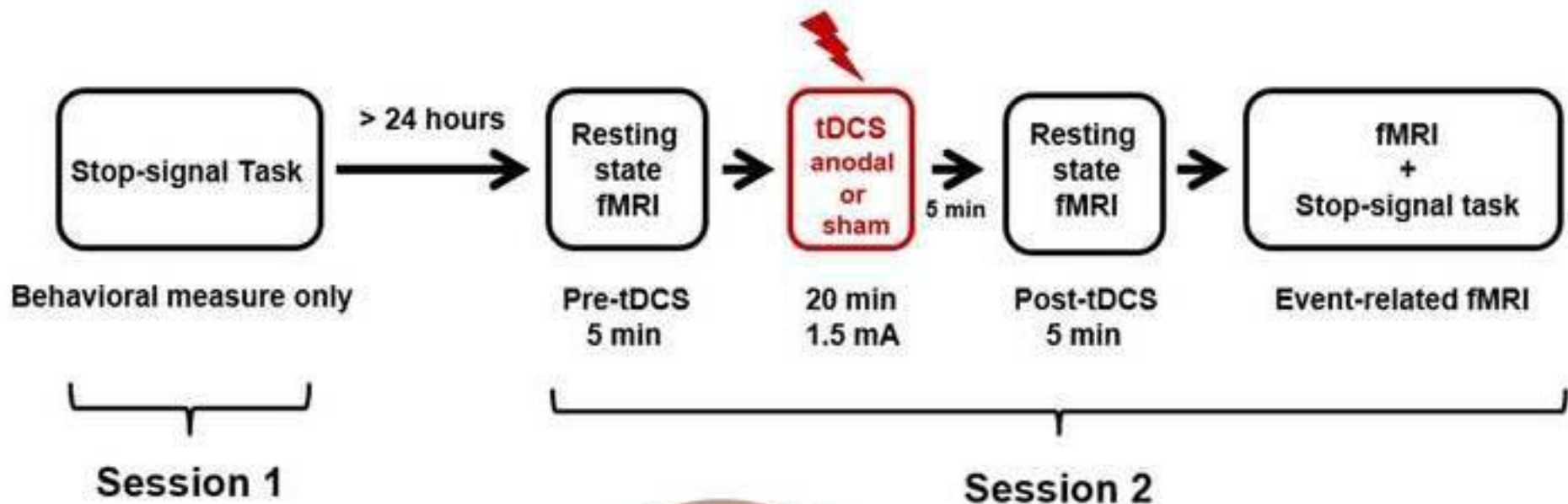
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677 **Figure 5.** Figure 5 shows the significant increase (voxel-level threshold $p < .001$; FDR $p <$
678 $.05$) in the efMRI functional connectivity (gPPI) between rPreSMA and STN during the Stop
679 responses after anodal tDCS relative to the Sham condition.

680

681 **Figure 6.** Figure 6a: rsfMRI showing an interaction ($p < 0.01$ uncorrected, FDR < 0.05)
682 between Group (Anodal – Sham) x Session (Post – Pre) in the two large clusters (xyz = 18,
683 16, 38; xyz = 33, -51, 36) that included rDLPFC, rPreSMA, and rIPC. The connectivity
684 values (Fisher's Z) showed a decrease in connectivity post-tDCS (rDLPFC = -0.081; rIPC = -
685 0.11) in the Anodal group relative to the Sham group (rDLPFC = 0.1; rIPC = 0.088). 6b
686 shows that similar regions in efMRI had reduced BOLD activation in the Anodal group
687 relative to Sham in rIPC and rDLPFC.

Figure 1
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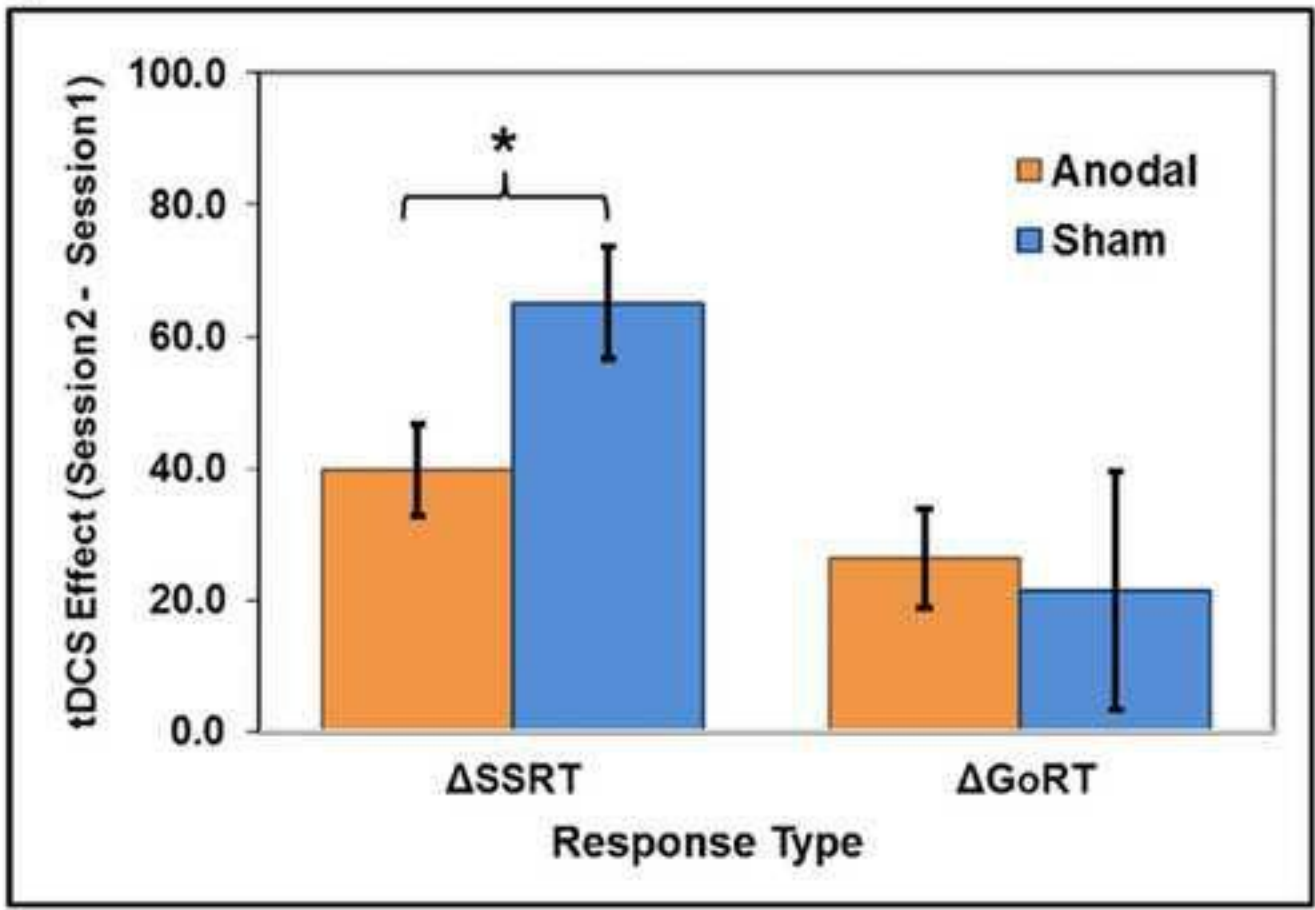


tDCS montage
(electrode pads: 5 x 5 cm)



Figure 2
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a.



b.

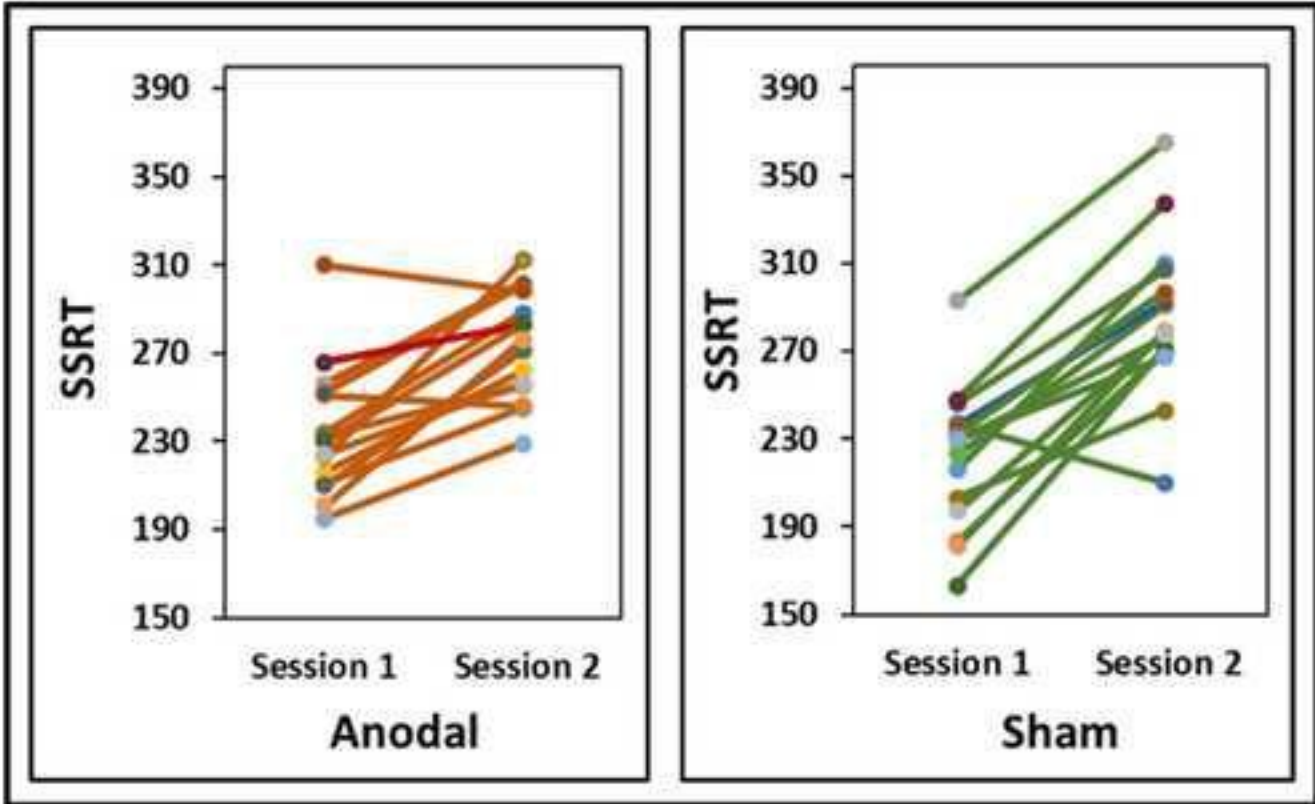


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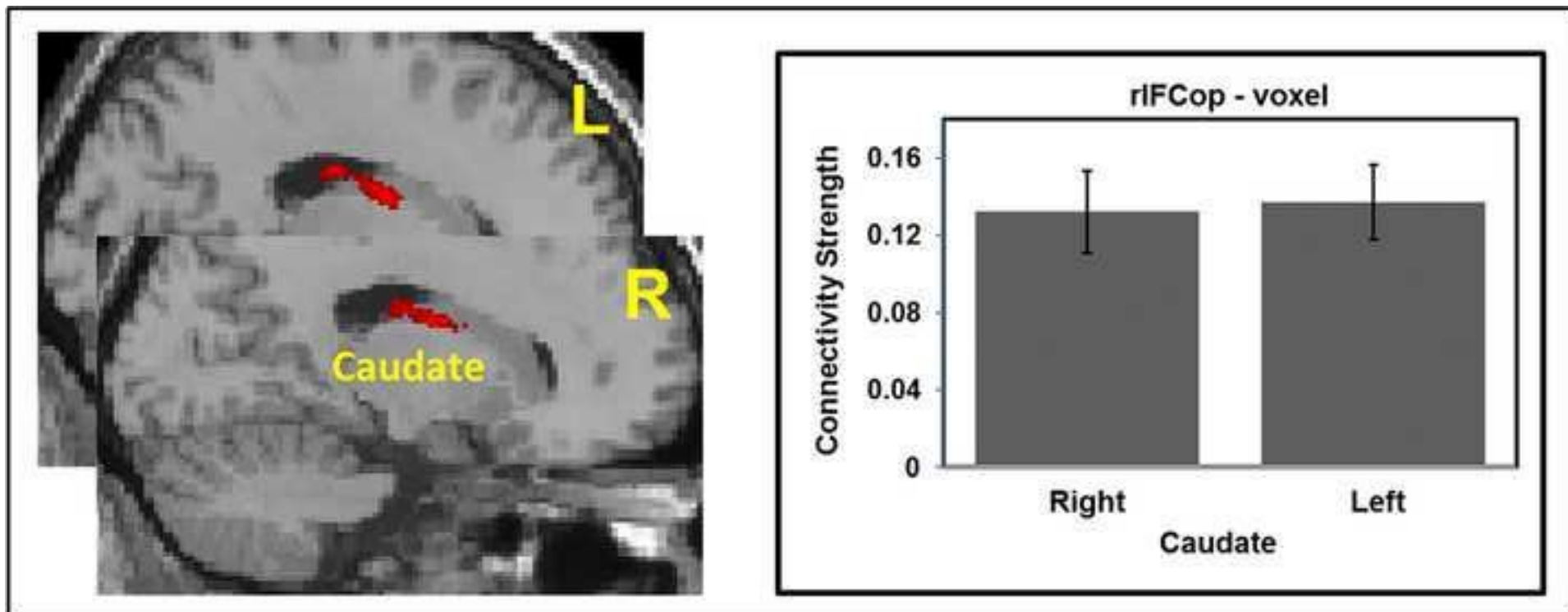


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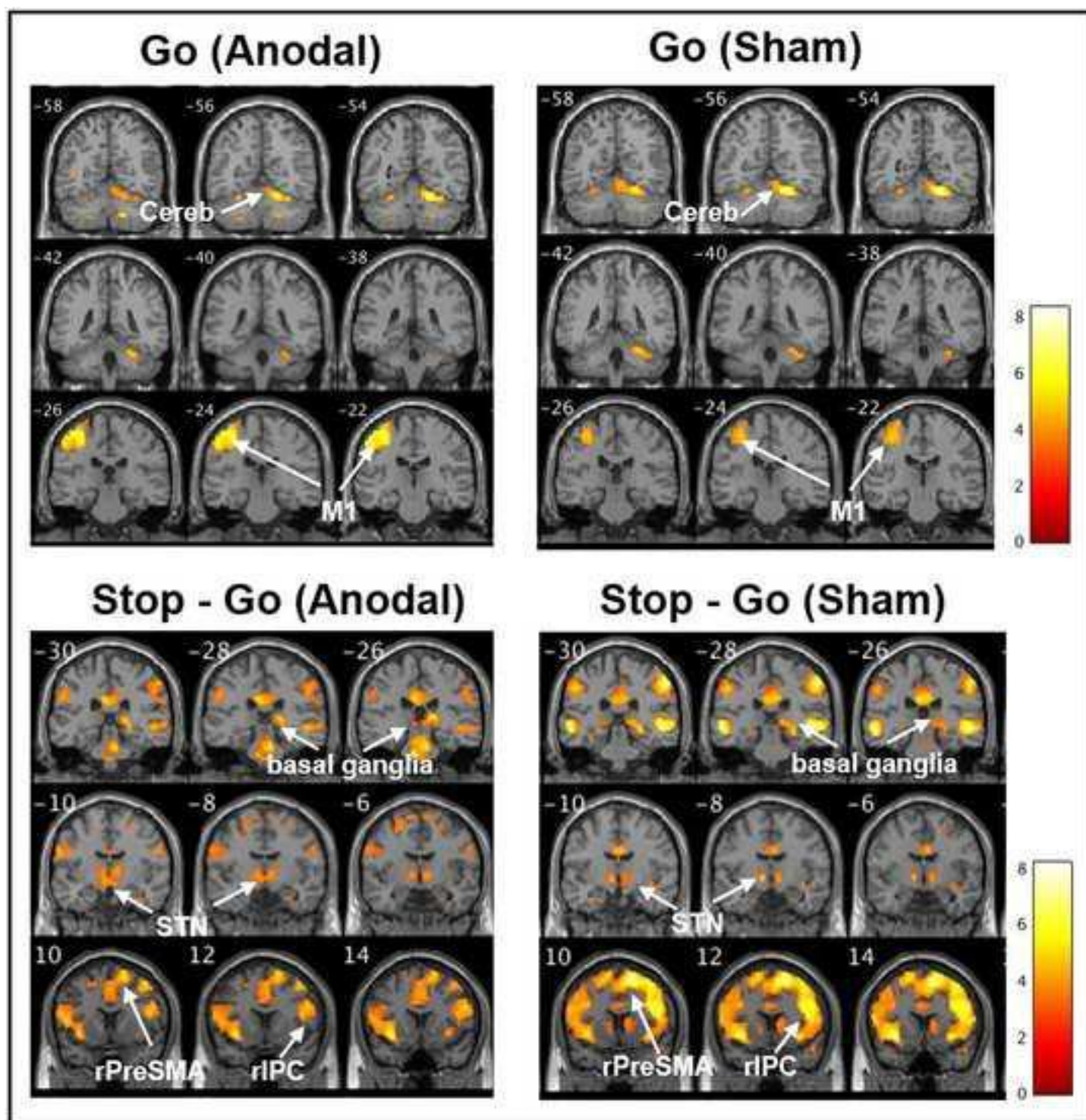


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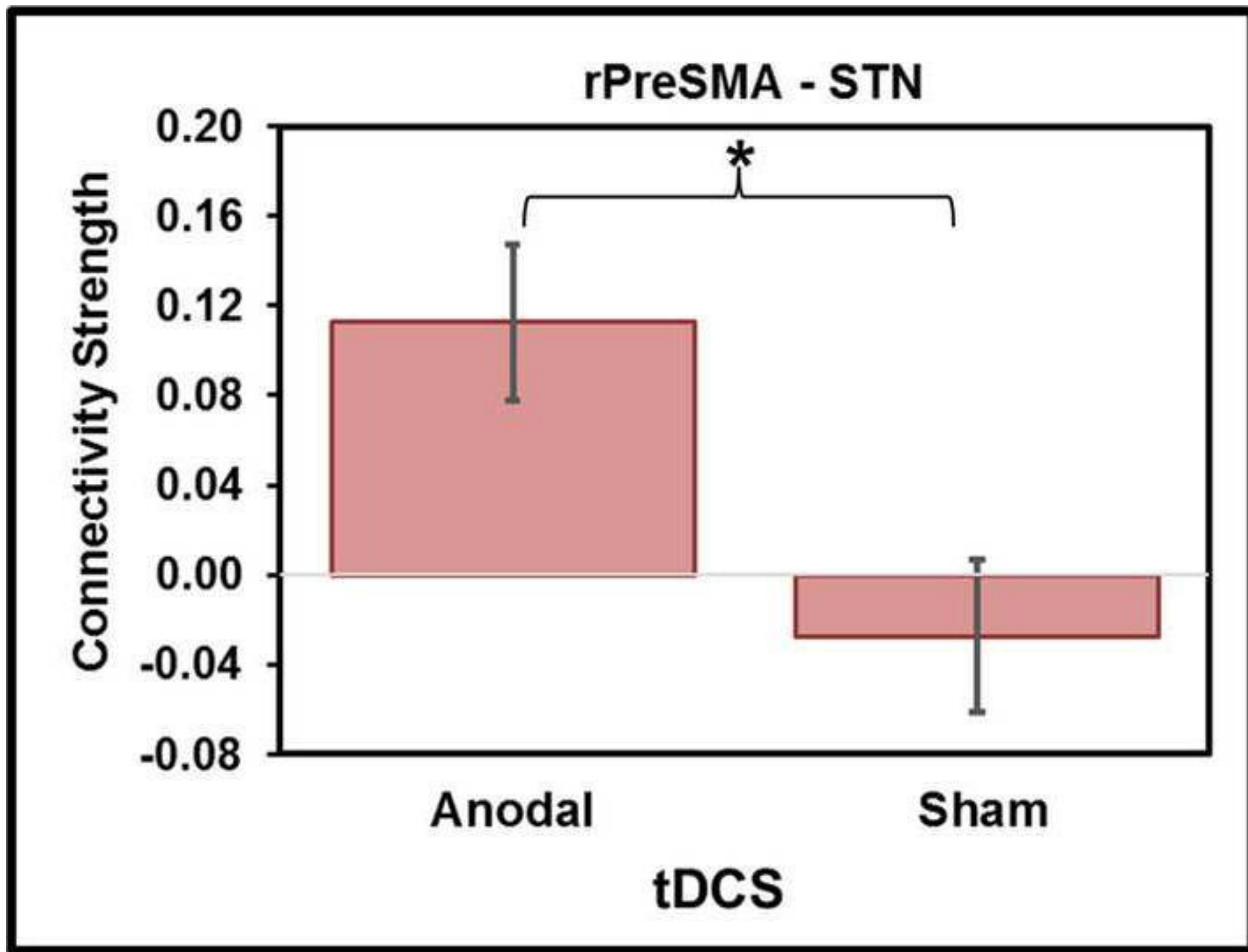


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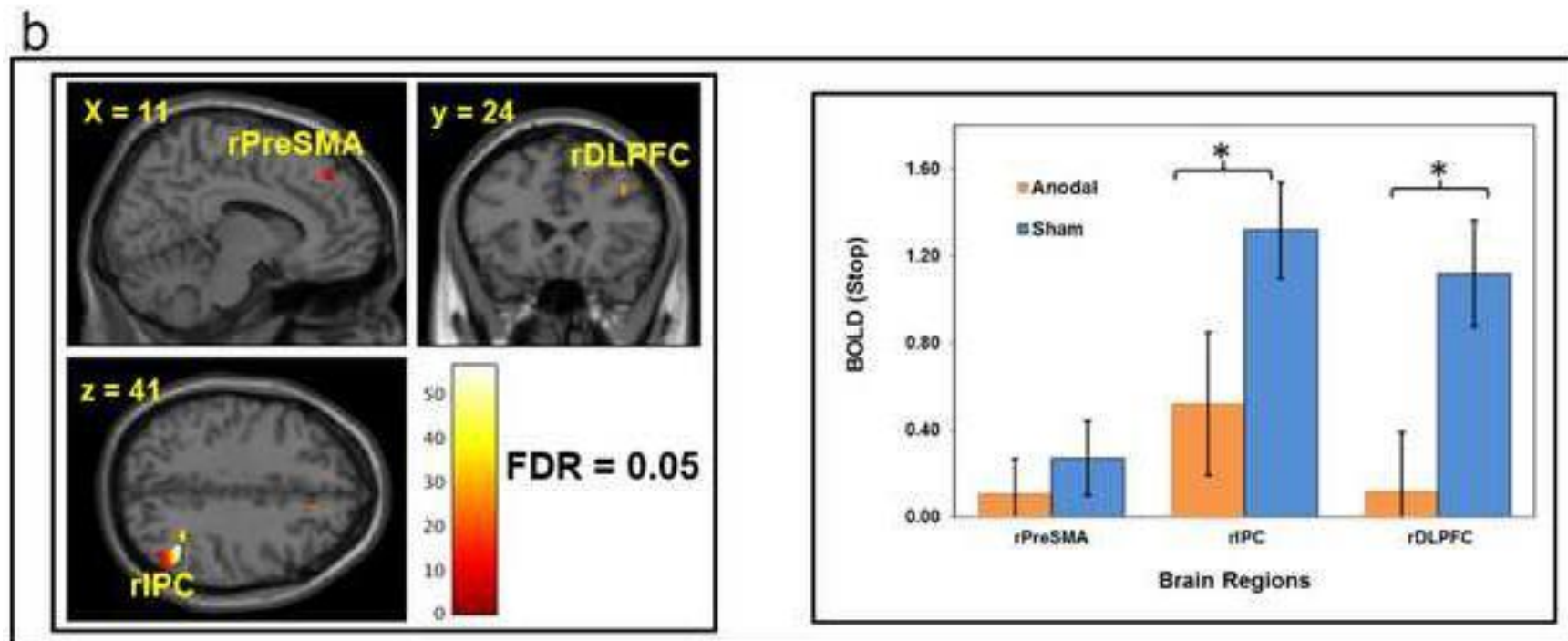
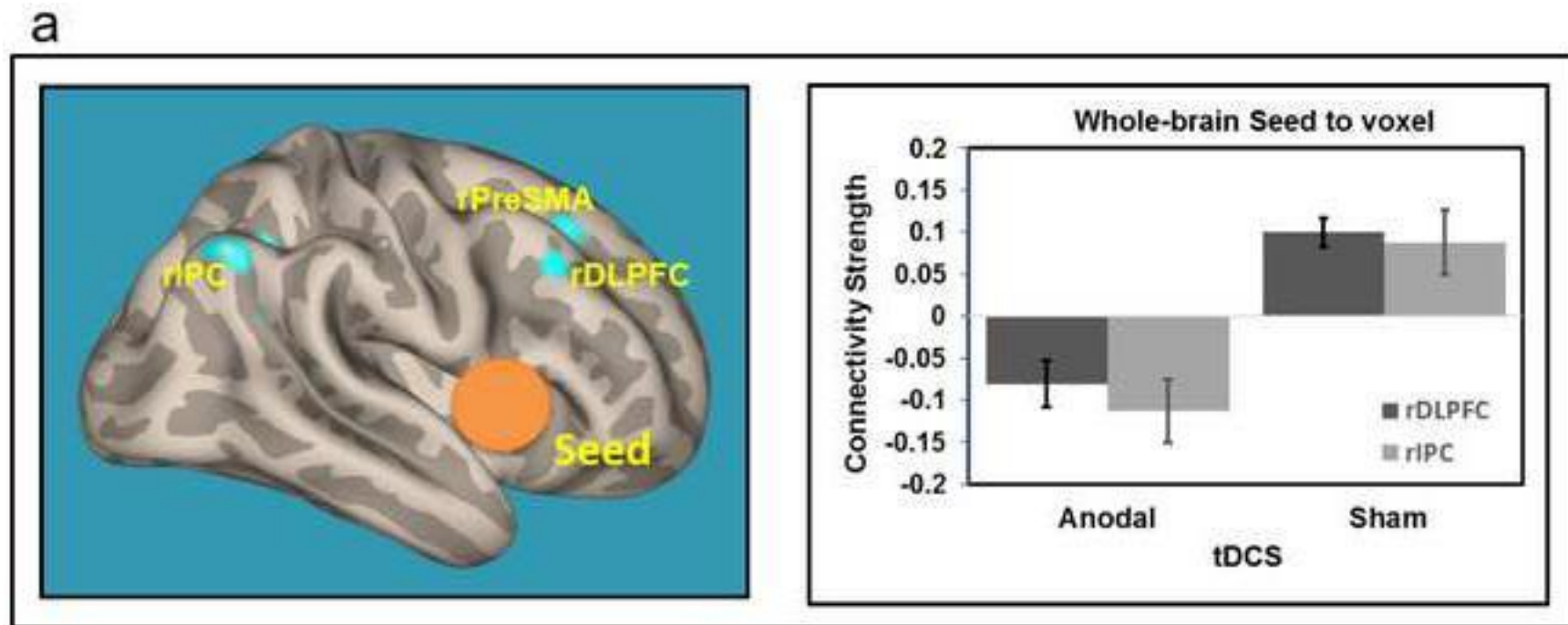


Table 1. Behavioral data of the Stop-Signal task

tDCS	Go RT		SSRT	
	Session 1	Session 2	Session 1	Session 2
Sham	472 ms (\pm 76)	493 ms (\pm 44)	221 ms (\pm 32)	286 ms (\pm 37)
Anodal	446 ms (\pm 35)	471 ms (\pm 49)	234 ms (\pm 29)	274 ms (\pm 24)

Table 2. Multiple linear regression analyses with SSRT (from the stop-signal task during efMRI) as the dependent variable and the efMRI BOLD activation clusters as the predictor variables.

a.

Predictor Variables	Coefficient	Std Error	T	P	Partial R ²	VIF
Constant	281.160	6.79213	41.39	0.000		0.0
rPreSMA (Go)	30.1464	19.3302	1.56	0.147	0.17	2.1
rIPC (Go)	48.9783	20.5105	2.39	0.036	0.34	9.4
rDLPFC (Go)	-60.6819	2.5923	-2.59	0.021	0.40	10.0

$R^2 = 0.45$; Adjusted $R^2 = 0.29$; Mean Square Error (MSE) = 422.67; SD = 20.56

b.

Predictor Variables	Coefficient	Std Error	T	P	Partial R ²	VIF
Constant	263.220	17.4886	15.05	0.000		0.0
rPreSMA (Stop)	-41.8928	16.5353	-2.53	0.028	0.37	1.8
rIPC (Stop)	32.4451	12.8303	2.53	0.028	0.37	1.8
rDLPFC (Stop)	-7.61921	9.64603	-0.79	0.446	0.05	1.2

$R^2 = 0.45$; Adjusted $R^2 = 0.30$; Mean Square Error (MSE) = 940.43; SD = 30.6

Notes: Table 2a shows the results of the multiple regression analysis with the efMRI BOLD activation clusters during Go responses in the Anodal tDCS group as the predictor variables. Table 2b shows the multiple regression results with the efMRI BOLD activation clusters during Stop responses in the Sham group as the predictor variables. VIF= variance inflating factor; rPreSMA= right pre-supplementary motor area; rIPC= right inferior parietal cortex; rDLPFC= right dorsolateral prefrontal cortex.

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