Perceiving those who are gone:
Cultural research on post-bereavement perception or hallucination of the deceased

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Abstract

Experiencing the continued presence of the deceased is common among the bereaved, whether as a sensory perception or as a felt presence, and has been increasingly researched from psychological and psychiatric perspectives during the last five decades. Such experiences have been also documented in the ethnographic literature but, despite the extensive cross-cultural research in the area, anthropological data has been frequently missed in the psychological work about this phenomenon. This overview of the literature, aimed at bridging these two areas of knowledge, approaches the post-bereavement perception or hallucination of the deceased in a cultural context. Ongoing debates are addressed from the vantage point of ethnographic and clinical case study research focusing on the cultural repertoires (in constant flux as cultures change) from which these experiences are labelled as desirable and normal, on one hand, or as dangerous and pathological, on the other.

Keywords:
Felt presence; sense of presence; grief; mourning; widowhood.
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Introduction

During and after the grieving process, the bereaved frequently describe hearing, seeing or feeling the presence of the person who died. These experiences have been extensively narrated in the folk, historical and ethnographic literatures and, during the last five decades, have received increased attention from the psychological and medical disciplines.

Research in this area, in both psychology and psychiatry, is divided terminologically. On one side are those who refer to post-bereavement hallucination (Rees, 1971; Grimby, 1993; Castelnovo, Cavalloti, Gambini, & D’Agostino, 2015), grief hallucination (Baethge, 2002) or hallucination of the deceased (APA, 2013). On the other, and primarily due to the pathological connotation of the term hallucination, some prefer to refer to experiencing or sensing the presence of the deceased (Steffen & Coyle 2010; Keen, Murray, & Payne 2013; Hayes & Leudar 2016).

As we wish to avoid commitment to either side of this polarisation, and not to invent a new acronym for general use but merely for convenience in this paper, from here onward we refer to these experiences as a perception or hallucination of the deceased after a bereavement (PHDB). A PHDB involves perceiving (hearing, seeing, touching, smelling) or feeling (the presence of) the deceased person. They are mainly felt as pleasant, comforting and welcome, and they are experienced by 30% to 60% of the bereaved (Keen et al., 2013; Castelnovo et al., 2015), happening to those both religious or non-religious. PHDBs are most frequently a felt presence (39% to 52%) followed by auditory (13% to 30%) and visual (14% to 26%) experiences (Rees, 1971;
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Grimby, 1993), although experiences involving several (or all) sensory modalities have been also reported in the literature (Castelnovo et al., 2015).

In contrast to the common over-reliance of psychological research on Western samples (Henrich, Heine, & Norenzayan 2010; Rad, Martingano, & Ginges 2018), this reported prevalence is based on a breadth of cross-cultural data. The initial empirical studies on PHDBs were conducted in Japan, by Yamamoto, Okonogi, Iwasaki and Yoshimura (1969), and in Wales, by Rees (1971), and studies have followed across European, American and Asian countries (Castelnovo et al., 2015). However, these rates should be approached with some caution: PHDBs are believed to be underreported due to fears of rejection, stigmatisation or ridicule (Rees, 1971, 2001; Grimby, 1993, 1998), and there are clear phenomenological difficulties in isolating these experiences from similar ones, such as waking-nightmares during sleep paralysis (Cheyne, 2001, 2003) and hypnagogic and hypnopompic hallucinatory experiences.

Despite PHDBs being predominantly experienced as welcome, a minority of people find them disturbing. Although data on the valence of PHDBs is considerably limited, 5% to 30% seem to be experienced in a distressing, unwelcome or ambivalent way (Hayes & Steffén 2018). In discussing the reason(s) for the varied valences of PHDBs, several authors have noted the importance of sociocultural-sanctioning and suggested that distressing PHDBs could be non-existent (Yamamoto et al., 1969) or less disturbing (Chan et al., 2005) in cultures where perceiving the deceased is culturally accepted and expected. Steffen and Coyle (2010) and Keen et al. (2013) have also discussed the particular role of spiritual-religious belief in the sociocultural-sanctioning of these experiences.
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Whilst it can be seen that cross-cultural research has impacted on discussion of PHDBs, the lack of integration between the psychological and anthropological literatures has caused the richness of ethnographic data to be frequently overlooked. Arguably, at the same time, the attention to phenomenology in psychology and psychiatry has had little impact in the anthropological realm. Although this is probably due to the foundational disconnection between both disciplines (Fish, 2000; Greenfield, 2000), terminological issues have not helped in establishing bridges: whilst psychologists have coined a myriad of etic terms for these experiences, anthropologists have mainly relied on emic descriptions (such as possession or haunting).

By contrast the wider field of hallucination research, besides specific research on PHDBs, has shown an integrative trend between psychology and anthropology in recent decades. Non-clinical voice-hearing is now known to be frequent among the general population (Beavan, Read, & Cartwright 2011) and to be culturally malleable (Luhrmann, 2011). Psychosis also varies in hallucination content (Suhail & Cochrane 2002) and sensory modality (Bauer et al., 2011) across countries. Reviewing the ethnographic and clinical literatures in the area, Larøi et al. (2014) concluded that culture influences the experiencing, understanding, and labelling of hallucinatory experiences. In their view:

"An ethnographic approach to hallucinations therefore becomes essential in understanding how members of particular societies identify and understand sensory events that would be recognised by secular observers as hallucinations and how they distinguish between unusual sensory events they regard as appropriate and those they identify as signs of illness. The richness of the
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ethnographic method captures meaning that experimental approaches will miss.”

(p.213)

Following this stance, the aim of this article is to address the gap in the literature between the psychological and psychiatric, on one hand, and the anthropological, on the other. This review includes the ethnographic, cultural, cross-cultural and historical studies in the area, and should be read alongside the existing reviews of the psychological and psychiatric literatures written by Keen et al. (2013) and Castelnovo et al. (2015).

Method

A keyword-based search was conducted in three databases (Social Sciences Citation Index, PsycINFO and Google Scholar) to identify pertinent studies. In each database, keywords from the database were selected that addressed potential PHDBs (presence, hallucination, apparition, haunting, possession), combined with keywords addressing loss (bereavement, grief, mourning) and with ones addressing the research area (ethnography, cultural, cross-cultural). Only experiences fitting within the earlier definition of PHDBs were included in this review. Exclusion criteria, therefore, were experiences occurring outside complete wakefulness, and those not experienced directly but mediated by somebody else (e.g. a shaman or a priest). Studies assessed as being outside the aforementioned research area were also excluded. The initial search was supplemented with manual searches in selected articles: forward by citation tracking, and backward from references, in order to identify additional papers that had been
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missed through the database search. The search was conducted in both English and Spanish. The resulting studies are reviewed according to their main framework: (1) medical anthropological and cultural psychiatric research, (2) family-based participant observation, (3) psychotherapeutic case studies, (4) interview-based psychological and psychiatric research and (5) socio-historical research. The reader is cautioned regarding the heterogeneity of these studies, ranging from single case ($n = 1$) to fieldwork-based ($n > 100$) studies.

Overview of the literature

Medical anthropological and cultural psychiatric research

Almost simultaneously with the foundational studies of Rees (1971) and Yamamoto et al. (1969), three cases of PHDBs were documented by Matchett (1972) in his fieldwork among the Hopi Indians of northern Arizona. The three were elderly Hopi woman, diagnosed as non-psychotic and suffering from depression, whom “during a period of mourning, clearly and repeatedly hallucinates the presence of a recently deceased family member” (p.185). These experiences were audio-visual (a vision for two of them, a vision and a voice for the third one) and clearly unwelcome for all of them. For one woman, her visual experiences evolved from being welcome to become highly distressing:

“She described how she would sit alone in her room in the evening and draw all the shades, and then, almost nightly, a vision of her deceased husband would
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appear before her chair. He would say little to her. At first she found this experience a very comforting one, and looked forward to his presence. Later, he began quite persistently to say things like, ‘I’m gone now, don’t bring me back any more; I don’t want to come back’. In the last month before her hospitalisation, the apparition stood in front of her chair, caressed her hair, then softly touched her cheek. She could distinctly feel his fingers move gently from her cheek to her neck; then suddenly he began to strangle her. She sprang to her feet in terror, ‘struggled free’, threw on the light, and ‘he was gone’. Gradually, the apparition began to show signs of physical decay. She reported that flesh on his hands and arms was turning to ‘skin and bones’ and that his clothing was deteriorating.” (p.189)

Matchett (1972) associated these experiences, together with the Hopi’s tolerance and acceptance toward them as a community, to their “extraordinary complex and sophisticated level of interest in their own intrapsychic lives” (p.186). Whilst connecting these PHDBs with the particularities of their culture, he believed that “this phenomenon is not merely an interesting and unique anthropological aberration born of centuries of isolation, but that it may also represent an unusually clear and open statement of a very common human experience” (p.185).

Another case, of a bereaved woman experiencing the presence of his deceased father, was described by Putsch (1988) among the Coast Salish, a group of tribes inhabiting the North American (mainly Canadian) Pacific coast. The Salish believe that chronic illnesses during wintertime are caused by spirit possession, an illness that is only treatable through singing and dancing the spirit’s song. The woman described a
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vision of her father giving her a song, after which her mother began “hallucinating her father all the time, refusing to believe that he was really gone” (p.14). The daughter developed arthritis, which she connected with the spirit’s influence, and both women came to believe that she was about to die. A memorial service, during which the daughter was able to sing his father’ spirit song, was successfully conducted as a treatment.

Nagel (1988), moreover, documented another three cases of distressing PHDBs in his fieldwork among the Navajo. All of them, suffering from a traumatic loss, received psychotherapy and pharmacotherapy (antidepressant medication) in addition to culturally-bound rites and ceremonies. As Matchett (1972) did with the Hopi, Nagel (1988) connected these experiences to the worldview of the Navajo. Loss and mourning are surrounded with fright and avoidance, as they believed that most of the deceased return as malevolent ghosts that haunt their relatives. As a measure of prevention, no emotional expression of grief is condoned in the community after the four-day period of mourning. Nagel presented “haunting” as a culturally-sanctioned outlet of the grieving distress, providing a solution to the mourning processes that go awry: the advice of “star-gazers” and the “Enemy Way” ceremonies that offer both diagnosis and treatment. Nagel finished his article reflecting on how:

“Culture shapes and patterns these processes and interprets and judges significant aspects of the individual’s experience. The intensity, frequency, and affective response to dreams and hallucinations seems to lead to a determination as to whether these experiences are deemed ‘normal’ or ‘pathological’ in a given cultural context” (p.39).
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This fear for the return of the dead among the Navajo, and the surrounding avoidance, has been repeatedly documented in other cultures within the ethnographic literature. An example is the mourning rites in several Amazonian lowland cultures which, according to Taylor (1993), are primarily focused on forcing the deceased to vanish. The Jivaro, an Amazonian tribe inhabiting the jungle between Ecuador and Peru, believe that re-experiencing the deceased person (verbally or visually) is dangerous for the living: “if biological death is not enough to separate the living from the dead, it is primarily because the dead are remembered” (p.655). The bereaved Jivaro strive, hence, to erase any remembrance of the dead after the loss. Sleep is avoided for a day, in order to impede dreaming of the deceased person, and “soul songs” are chanted to break the bond between dead and the living:

“The most immediate concern for all members of the local group is, quite literally, losing the deceased, forcibly separating them from their living relatives. When a person dies or is killed, his image (wakan) remains in the vicinity to harass the living: either vengefully, in the shape of a murderous ghost called muisak (emesak in Shuar) intent on causing accidental death in the household of the killer, or nostalgically, in the shape of a blind ghost prone to upsetting pots and banging things during the night. The dead are acutely lonely and they are also sightless and perpetually hungry; hence their reluctance to part with the living” (p.662).

Kracke (1988) describes similar prescriptions and beliefs in his ethnography among the Kagwahic, another Amazonian tribe. They encourage the muting of their sorrow during mourning, and the name of the deceased is altered (turning the word into an inanimate noun) in order to distance them from the living. A mortuary rite is in place
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to keep the ghosts at bay: the eyes of the corpse are closed and their face is tied with a
cloth. Documenting visions of the deceased among the bereaved Kagwahic, Kracke
initially considered them indicative of a pathological mourning triggered the fear and
repression surrounding bereavement. Further data from in-depth interviewing,
nevertheless, made him conclude that “these reactions do not seem more intense or
problematic than in the normal mourning process in our own culture” (p.218). He
described both welcome and unwelcome experiences among the interviewees, surprised
by the comforting and beneficial nature of some visual PHDBs.

Yet another description of this mourning taboo can be found in the ethnography
of Shepard (1995) among a Matsigenka, a third Amazonian tribe. They believe that,
after a sudden death, the deceased can return to life (in the form of a beast) to attack
their own loved ones, either when they are dreaming or when they are walking alone in
the forest. Bereft families are invaded by a mortal fear after the loss, and the mourning
rites of the Matsigenka mirror this concern over the power of the dead. “The dead seek
out their loved ones for companionship”, describes Shepard, “not fully aware of their
own dangerous, liminal status. It is their nostalgia for life that makes the dead so
perilous to the living” (p.211). This defensive mourning involves, for the bereaved,
laying quietly in the house for three days, avoiding both the forest and hunting, and
painting their head with the ghost-repellent red annatto, a tincture. The nostrils of the
corpse are plugged with tabor, a resin, to smother the aggressiveness of the beast. The
Matsigenka differentiate between dreaming about a dead person, on one hand, and
seeing them while awake, on the other: tsavitetagantsi (“to become confused, to see
something that latter vanishes or is not there”, p.213). The latter is seen as a serious
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illness frequently leading to death, and kamatsirivenki, a sedge frequently infected by psychedelic-producing ergot fungi, is used as a treatment.

So far, this section has outlined three case studies among North American tribes and three ethnographies among Amazonian tribes. All of them have described people reporting PHDBs which were interwoven with the surrounding socio-cultural and spiritual-religious framework. The predominant sensory modality was visual, followed by the auditory, and the experiences were mainly distressing.

An exception to this trend, regarding both the modality and the valence of the experiences, is the ethnography conducted by Gondar-Portasany (1989) in coastal Galicia (Spain). Interviewing 1873 people with PHDBs as part of his fieldwork, and besides the usual visual and hearing experiences, he also documented the belief that the deceased return as a dove or as a dancing light in the night, experiences respectively reported by 21% and 15% of his sample. He connected these experiences to the societal changes in Galicia and in Western societies during the last century:

“The fear of death is making us forget the dead: those that were first expelled from the centre of the village and kept in peripheral cemeteries. We are now trying to expulse them from our memory: because they symbolise our own death (which we seek to avoid), because they are our past, because we are only interested in our future. The problem is that the deceased are taking revenge coming back to perturb the unconscious of their reckless children […] As we destroy ritual symbolism without providing a substitute system, we are witnessing the exclusion of an anarchic imagination almost bordering the pathological” (p.14).
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Family-based participant observation

Whilst the ethnographies of Kracke (1988), Taylor (1993) and Shepard (1995) documented instances where PHDBs were culturally avoided and feared, the fieldwork of Doran and Downin-Hansen (2006) provided evidence of the opposite situation. Conducting participant observation among Mexican American families living in the United States who had lost a child, they documented a situation where PHDBs were not only accepted, but culturally-sought after. The families maintained a bond with the deceased children through a shared felt presence, experienced collectively (and in a welcome way) as a family system rather than as an individual, and stated that it would be “inconceivable not to maintain such a bond” (p.209). Rites and storytelling were in place, such as the use of the flower *zempasuchil*, that is believed to attract the spirits of the deceased. They concluded:

"The cultural influences on the grief process were clearly evident in the interview data. For example, the preparation of the deceased’s favourite food as part of the Day of the Dead celebration, the use of certain flowers to entice the spirit of the deceased to return home, and the dressing of dolls in the deceased's clothes on home altars all illustrate the powerful role of Mexican American culture in the grief process […]. Death is a prevalent motif in Mexican culture, perhaps because of the intertwining of similar Aztec and Catholic beliefs that death is not the end, but rather an entry into a new way of life […]. Psychotherapists, when working with similar Mexican American families, might prudently focus more on engaging extended family and community support for
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the bereaved rather than emphasizing the centrality of the counselling relationship” (p.209).

Steffen and Coyle (2017), in contrast, have documented the dissension within a family caused by similar experiences. They conducted a family-based participant observation with a family of German origin living in England following the death of the father. After his death, his wife continued hearing his voice and feeling his presence, which she understood within her Catholic belief in an afterlife. Her children dismissed her interpretation as incompatible with their own rationalistic worldview. This clash of frameworks influenced the valence of the experiences, which were felt as comforting for the mother but (externally) seen as disturbing for her daughter. Connecting this mismatch with the surrounding societal taboos in Euro-Western cultures, Steffen and Coyle considered this “an example of how wider macro-social tendencies around such phenomena are played out at a local level” (p.380).

Doran and Downing-Hansen (2006) and Steffen and Coyle (2017) have showed the relevance of family-based research on PHDBs. Besides participant observation, future research could focus on the interactional and linguistic issues involved in the family system experiencing PHDBs either in dissension and individually (as in Steffen & Coyle, 2017) or in agreement and collectively (as in Doran & Downin-Hansen, 2006).
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Psychotherapeutic case studies

The first paper on psychotherapy for distressing PHDBs was published, to the best of our knowledge, by Aguilar and Wood (1974). The intervention was framed within a mental health clinic in California providing psychotherapy to patients of Latin American (and mainly Mexican) origin. Among other techniques, they described the use of a drama-ritual with Mexican symbolism as an intervention for grief difficulties. The case was of an adolescent girl suffering from distressing visual PHDBs of her deceased father. Aguilar and Wood connected the distress to unresolved business with the departed, and described how the girl was able to express her grief and anger during the drama-ritual, confronting her father (“You were bad, you drank, you left us alone”, p.13) before making amends, and saying goodbye.

Three years later, MacDonald and Oden (1977) published a clinical case series on three young Hawaiians suffering from distressing visual PHDBs. All of them interpreted these experiences as visits from aumakua, departed loved ones that appear to their family members in the form of spirits. They were initially treated with behaviour therapy (systematic desensitisation) but, after the intervention failed, a Hawaiian cultural practice was used instead: they were told to relax, bring forth the image of their aumakua, and ask them what messages they had for them. This latter intervention was quickly successful, decreasing their suffering and making the visions disappear. In the three cases, the aumakua (the grandmother of the boys, in the first two cases, and the brother and a girl, in the third one) communicated moral messages to them: to behave ethically, to stop his violent behaviour and to obey her family, respectively. The authors connected these phenomena with the Hawaiian respect for the elder, and explained them...
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from an etic perspective as the externalisation of a cognitive expectation that “seems to
serve as solution to the dilemma posed by belief in the importance of voluntary
adherence to group standards and, at the same time, belief in the need for independent
control” (p.193).

Shimabukuro, Daniels & D’Andrea (1999), also in Hawaii, published the case
study of a Filipino eleven-year-old boy whose mother had died and who frequently
experienced her continued presence. These PHDBs were both auditory and visual and
were experienced as comforting (“She’s always there to protect me”, p.227), and were
experienced by the boy while in the therapy room (“She is over here […], she’s
kneeling down next to me”). The authors connected the experiences with the
particularities of the Filipino culture, both a religious belief of the power of the dead
over the of the living and a sanctioning of PHDBs. Confronting “some of her own
ethnocentric views about death and the afterlife” (p.235), and refraining from
diagnosing, the clinician reflected how, if using a traditional approach, she:

“would have likely concluded that this student was suffering from pathological
reactions to this mother’s death. By arriving at this type of conclusion, the
youngster would probably have been referred for more intensive and ongoing
psychotherapeutic services that would have included prescription medication to
help ameliorate his reported hallucinations of his mother’s spirit and assist him
in readjusting to school”.

A last case, beautifully written by Sluzki (2008), provides an interesting
reflection on the concern over pathologisation expressed by Shimabukuro et al. (1999).
He described the case of a Mexican American elderly woman who was referred to him
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with a diagnosis of atypical chronic schizophrenia, and treated with neuroleptic medication. She described how her two deceased sons visited her three to four times a week, in the evening, frequently after the dinner, reassuring her that they were all right and conversing and joking with her. Although the experiences were initially frightening, they became increasingly pleasing (“she enjoyed them immensely”, p.383). The experiences were completely vivid (“Doctor, most of the time I can see and hear them as clearly as I can see you”), although she was unsure as whether they were a figment of her imagination. She never mentioned the experiences to her (living) family due to fear of being mocked. Sluzki described a culturally-sensitive intervention which acknowledged the beneficial nature of these experiences, whilst implicitly doubting their physicality (“she would have felt infantilized by that”, p.387) and without disqualifying them. Whilst diagnosing them as hypnagogic hallucinations or a self-induced lucid dreaming, Sluzki withdrew the diagnosis of schizophrenia together with the neuroleptic treatment.

To summarise, these four psychotherapeutic case studies have described an intervention for PHDBs guided by normalisation and cultural-awareness. All of them reported successful outcomes as assessed by the clinician. In those cases were the PHDBs were unwelcome, the distress was hypothesised to be connected with either unfinished business with the deceased (Aguilar & Wood, 1974) or a deviation from socio-cultural rules (MacDonald & Oden, 1977).
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Interview-based psychological and psychiatric research

Cross-cultural psychological research on PHDBs began, at the end of the nineteenth century, with the publication of the census of hallucination of Sidgewick (1884) and colleagues. The inquiry involved the interviewing of 17000 people, across European and American countries, on the “spontaneous hallucinations of the sane” (p.25). A total of 1684 people (9.9%) responded affirmatively to having experienced a hallucination, of which 275 (1.6%) reported having experienced the presence of a deceased person via a vision (n = 127), a voice (n = 70) or both (n = 48).

Research then lapsed for seven decades, until the discrepancies between the investigation of Yamamoto et al. (1969), in Japan, and the studies conducted by Rees (1971) and Marris (1958) in Britain, triggered replication studies in China, Sweden, Norway, Denmark, Germany, United Kingdom, Canada and the United States (see Castelnovo et al. 2015). Yamamoto and colleagues interviewed twenty Japanese (and mostly Buddhist) widowed women in Tokyo, and found that 90% of them felt the presence of their deceased husband. None of the women worried about their sanity. They connected this with an acceptance and encouragement in Japanese culture around the idea of the presence of the deceased, and highlighted the butsudan (the family altar) in this sanctioning:

“In Japan the deceased become ancestors who are fed, watered, given gifts, and talked to, and so the tie between the widow and the dead husband remains through the concrete medium of the husband’s photograph on the family altar. The family altar is almost universal and is a cultural cultivation of the idea of the presence of the deceased” (p.79)
Norichika (2016), interviewing 100 bereaved people after the Great East Japan Earthquake, reported a much lower prevalence: 30% maintained a conversation with the deceased and 25% felt their presence. He also described how Buddhist monks and priests offered (secular) psychological first aid after the catastrophe (and after long), engaging in *keichō* (active listening and mirroring responses) and strictly refraining from proselytism.

These latter two studies, together with the majority of the cross-cultural research conducted on the area during the last two decades, were framed from the perspective of continuing bonds (Klass & Steffen, 2018). The continuing bonds theory is an emerging paradigm in bereavement studies that, briefly stated, asserts that not every grieving process should conclude with the detachment from the deceased (“let go for the past, and move on”, p.3): the ‘breaking bonds hypothesis’. A continued bond with the lost loved one, is argued, is a normal aspect of grief. A continuing bond can be an PHDB (an ‘externalised bond’), but also ‘internal bond’, such as keeping the personal belongings of the deceased and praying to them. In the case of this review, the amalgamation of these phenomena under the same terminological umbrella is a difficulty when extrapolating cross-cultural data on continuing bonds to our understanding of PHDBs. An example of this is the investigation of Foster et al. (2012) among bereaved Christians in Ecuador, in which 14% reported feeling the presence of the deceased and 10% reported perceiving (hearing or seeing) them. 55% classified these continuing bonds as discomforting, but the researchers did not specify whether these distressing bonds were ‘external’ (PHDBs) or ‘internal’.
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A counter-example is the study conducted by Chan et al. (2005) among 52 bereaved people in Hong Kong. Nine of their participants (17%) reported their deceased loved one coming back as an insect, and “some of the bereaved also described how these insects rarely flew away, as insects normally do, allowing the bereaved the chance to talk to the insects as if they were talking to the deceased” (p.939). They interpreted this from the Chinese belief that the deceased relatives can return in insect form, usually a moth, butterfly or dragonfly, and fly around the family altar. Another Chinese belief, belonging to Taoism, is that the spirit of the deceased will return home the seventh day after death. Nine of the participants reported having such experience, and one reported distress this prediction did not occur. In total, 17 participants (33%) reported perceiving (hearing or seeing) or feeling the deceased after the death. As Yamamoto et al. (1969) in Japan, Chan et al. (2005) highlighted the sanctioning of a prolonged connection with the deceased in Chinese culture, connected with the ritualistic use of the family altar and the co-existence of (Buddhist, Christian and Taoist) religious beliefs in the afterlife.

Socio-historical research

Strode, Gergen, Gergen and Stroebe (1994) contrasted the contemporary perspective on bereavement in Euro-Western culture, based on the ‘breaking bonds hypothesis’, with a pre-existing ‘romantic’ perspective in nineteenth-century Europe, when grieving was to signal the importance of the relationship with the departed. From this latter perspective, a complete detachment from the deceased would categorise both the relationship and the bereaved as superficial, making “a sham of a spiritual
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commitment and undermining one’s sense of living a meaningful life” (p.1208). Their analysis, based on previous research on nineteenth-century personal diaries, highlighted how common it was for people to either narrate visions of the deceased or to describe a striving to perceive (or feel) their presence. An example of their perspective in Victorian Britain is, precisely, the case of Queen Victoria after the death of Prince Albert³ who, according to the newspapers of the time (Sacramento Daily Union, 1871, October 10), had:

“a firm conviction that Prince Albert is always present with her, and that she can hold communion with him […] In some of her moods she will converse with him for an hour together, conducting her own share of conversation aloud and with the vigour and interest of old times […] she imagines that her husband looks on, well pleased. At times, when she is more than ordinarily depressed with a sense of his presence, the poor, fond woman will order a knife and fork to be placed on the dinner-table for him, and cause the attendants to place every course before the empty chair as if the master still occupied it.”

Building on the interpretation of Strobe et al. (1994), Walter (2018) contrasted the cultures in which the living and the dead are expected to look after one another (‘care cultures’) versus the ones where the dead are relegated to remembrance (‘memory cultures’). He exemplified the former in the (post-romantic) contemporary and secular Europe, where no interdependence between the living and the dead is allowed beyond memory, and the latter in the ancestor veneration of some East Asian cultures, where ritual practices (such as shrines and ceremonies) are in place to care for the deceased, implicitly or explicitly sanctioning a mutual relationship. The reader is
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referred to Walter (2017), for a sociological approach to this variance, and to Rees (2001), for a historical one.

Discussion

PHDBs seem to be a common feature of bereavement in several cultures. Cultural research, nevertheless, has repeatedly documented cultural variation in the way that PHDBs are anticipated, experienced and evaluated. Whilst the psychological and psychiatric literatures indicate that they occur regardless of nationality, age and creed (Keen et al., 2013; Castelnovo et al., 2015), the anthropological literature suggests that they are strongly shaped by social, cultural and historical influences.

Anthropological remarks

From an anthropological perspective, in the light of the reviewed literature, the psychological hypothesis connecting the valence of these experiences (welcome versus unwelcome) with the presence or absence of a (mainly religious) sociocultural-sanctioning seem over-simplified. Researchers have documented distressing PHDBs in those cultures where perceiving the dead is accepted (Matchett, 1972) as well as in those where it is feared (Nagel, 1988; Taylor, 1993). Kracke (1988), moreover, documented welcome PHDBs in a cultural environment of avoidance and repression. All occurred in cultures with a firm religious worldview. What can be concluded is that,
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although the cultural framework may not determine whether they are welcome or
unwelcome for the bereaved, it definitely shapes the way in which the distress is
suffered, expressed and treated when PHDBs are distressing. As Nagel (1988, p.33)
stated, “in any culture these processes can go awry and one might expect there to be a
cultural prescription for diagnosing and treating pathological outcomes”. The singing
and dancing ceremonies of the Salish (Putsch, 1988) and the Navajo rites (Nagel, 1988)
are good examples of this.

Another anthropological remark can be raised regarding the phenomenological
boundaries of PHDBs. We believe meticulous attention to the subjective experience of
people can have value to add to the anthropological exploration of PHDBs, helping
distinguish rather different experiences3. Such phenomenological attention can
differentiate PHDBs from other hallucinatory phenomena, such as a voice heard when
falling asleep (hypnagogic hallucination), during sleep paralysis (waking-nightmare) or
when waking up (hypnopompic hallucination). However, even here caution is needed:
Putsch (1988) and Shepard (1995) highlighted the difficulties in extrapolating the
implicit Euro-Western dichotomies underlying the term (mind versus body, dreaming
versus awakening, the living versus the dead, individual versus community) into some
cultures, and the ethnographic literature provides us with more examples of these
porous boundaries. Putsch (1988, p.17), for instance, has described two cases of PHDBs
situated between the dreaming and waking state, which the patients (a Navajo woman
and a Laos refugee) perceived as ontologically real (“the dead calling for, or returning
for, the living”) and which caused not psychological but psychosomatic difficulties. He
defended the equivalence of these experiences to the waking ones, involving perception,
and categorised them as a culture-bound ‘ghost illness’. As Walker and Thompson
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(2009) have highlighted, dreaming and wakefulness are not mutually exclusive in American Indian culture.

Psychological remarks

From a psychological perspective, is compelling that several cultural researchers (ethnographers and non-ethnographers) saw PHDBs as idiosyncratic and specific to the cultures they studied, connecting them with either their worldview, their rites or their historical past. This has been the case even when psychological research had already indicated that PHDBs are common, and welcome, for the majority of the bereaved across countries. Matchett (1972), for example, analysed his clinical cases from the lens of the Hopi religion. Similarly, Shen (1984) even considered ‘mourning hallucinations’ to be a pathology specific to the Hopi culture. Gondar-Portasany (1989) connected the phenomenon to the cultural and societal changes occurring in both Galicia and in Western societies during the last century, considering them as problematic if not bordering on the pathological. In a clinical location, Sluzki (2008, p.379) saw the audio-visual PHDBs of his patient as reflecting the magical realism of Latin American culture, assuming them to be more common in “cultures where the boundaries between the inner and the outer world are fuzzy”. A similar conclusion was reached by MacDonald and Oden (1977) and Shimabukuro et al. (1999) regarding the Hawaiian and Filipino cultures, respectively. Without wishing to minimise the distress of the protagonists in these reports, we do not see a convincing argument to classify these experiences as a pathology arising from the surrounding socio-cultural environment. We attribute the need to understand PHDBs in this way to the classical disconnection between the mental health and anthropological literatures that was already discussed. Exceptions to
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this trend, nevertheless, are the studies of Kracke (1988), Putsch (1988) and Nagel (1988), who neither pathologised these experiences nor framed them as specific to a given culture.

The proscription and pathologisation of PHDBs is clearly not the sole province of psychology and psychiatry. However, the literature suggests that, in Euro-Western societies, the management of the divide between the bereaved and the depart has been increasingly given to mental health practitioners. In other cultures, where the therapeutic and the religious roles are not necessarily divided (Calabrese, 2008), this border-keeping function seems to have been frequently allocated to a shamanhood or a priesthood. We wonder to what extent changes in the status of religion in Euro-Western societies, over the last 150 years, have linked with transfer of a gatekeeping function to these mental health professions.

Psychopharmacological remarks

Another theme underlying the reviewed literature is the use of psychoactive substances, as part of this cultural proscription, either to treat or to suppress PHDBs. An example is psychedelic use among the Matsigenka, as described by Shepard (1995), but also the use of anti-psychotic medication, within the psychiatric realm, as mentioned by Sluzki (2008). Given that psychosis is sometimes described as “simply the presence of hallucinations and/or delusions” (Semple et al., 2005, p.176), and that some distressing PHDBs could meet criteria for a brief psychotic disorder within DSM-V (APA, 2013), this use of medication to manage PHDBs is an unsurprising possibility. We believe that the danger is considerable, potentially involving an unnecessary pathologisation or an
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ethnocentric medicalisation, and that such use of medication should be a last line of
course when PHDBs are highly distressing. In the presence of additional and severe
difficulties (e.g. delusional experiences) which predate the bereavement, nevertheless,
the balance of advantages to disadvantages may be different. In those instances, a
cautious exploration of a psychopharmacological intervention could be warranted.

Looked at from an anthropological perspective, however, psychoactive
substances have been widely linked with the management of PHDBs across cultures.
What is interesting is that they are not only used to suppress PHDBs, but also to
promote such experiences, as psychedelic substances have been used as well to loosen
the boundaries between the dead and the living. Taylor (1993), for example, describes
how communication with the deceased is sought-after among male Jivaro, when
transitioning from childhood to adulthood, through a vision quest. The liminal rite
involves entering in the forest for days, fasting alone, and finally consuming datura (an
anticholinergic psychedelic) to materialise the arutam, a vision, which is addressed as
‘grandfather’. The ancestor is identifiable as such, but not recognisable as a concrete
person: the mourning rites have distanced them from the living, and they have been
forgotten. Similar pharmacologically-caused experiences have been also documented
arising from the ritualistic use of ayahuasca (or ayawaska) in the Amazon basin
(Shanon, 2010), a serotonergic psychedelic brew that etymologically means liana (or
vine) of the dead in Quechua.
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Implications for clinical practice

A point of agreement between both the psychological and anthropological literatures, when clinically working with distressing PHDBs, is recommending awareness of the patient’s cultural resources. There is a danger, as highlighted by Shimakuburo et al. (1999, p.225), for the clinician to “pathologise behaviours that, although appearing unusual to the culturally incompetent practitioner, are very appropriate from the client’s own cultural perspective”. This is especially pertinent considering the absence of a clear psychological framework to draw from when make sense of these experiences (Hayes, 2011), and that a failure in accommodating a culturally-bound belief may easily derail the assessment or the intervention (Putsch 1988). Two of the clinical cases, the ones presented by Shimakuburo et al. (1999) and Sluzki (2008), have described a psychological intervention for PHDBs that were not experienced as distressing. Consideration should be given to whether these experiences were unwelcome for the patient or for the external observer, and normalisation is needed in those instances when PHDBs cause no distress (Hayes & Steffen, 2018).

In an era of increasing migration and globalisation, intercultural psychotherapy is becoming more the rule than the exception. In such a context, we defend the need to build stronger bridges between the clinical disciplines of psychology and psychiatry, including their attention to phenomenology, and anthropology, recognising the value that ethnographic research has to bring to this area.
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Notes

1

An exception is the survey of Ata (2012) in Australia, who found a higher prevalence of audio-visual PHDBs among bereaved Muslims (40%), Hindus and Sikhs (29%), versus Buddhists (1%) and the non-religiously affiliated (1%). He connected this difference to a higher unrestrained emotional expression of loss among the Muslim and Indian communities.

2

PS owes this reference to Jack Farthing, who pointed it out in a personal communication.

3

The ethnographic literature is filled with lived experiences that, although situated beyond the definition of PHDB, are of relevance in this discussion. Langford (2013) and Astuti (2007), for example, have described the importance of dreaming of the deceased in Southeast Asian people in exile and in the Vezo people of Madagascar, respectively. In both instances, the dreaming experience is both distressing and perceived as veridical: an actual, and dangerous, encounter with the spirit of the deceased. Other examples are mediational experiences, when a shaman in trance mediates the encounter between the living and the dead (Vitebsky, 2017), and spirit possession by the deceased (Englund, 1988; Gondar-Portasany, 1989; Bilu 2001). Lastly, Al-Adawi, Burjorjee and Al-Issa (1997) have described a culturally-specific response to bereavement in Oman, mu-ghayeb, that despite not involving a perception or hallucination, implies an illusion
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of the deceased (almost seeing them in a rapidly-passing car or wandering across the
countryside in the distance) in combination with a delusion (a complete denial of the
loss). This review of cultural research on PHDBs, therefore, should be seen as a portion
within the much wider anthropological knowledge about ancestor veneration, ghost
belief and burial rites (see, for example, Rosenblatt, Walsh, & Jackson 1976).

4

This frontier between both disciplines is, of course, not dichotomic. These cultural
studies were not only conducted by ethnographers but also cultural psychiatrists and
(culturally-focused) psychotherapists.
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