

PreSMA stimulation changes task-free functional connectivity in  
the fronto-basal-ganglia that correlates with response inhibition efficiency

Benjamin Xu<sup>1,2\*</sup>, Marco Sandrini<sup>1,2\*</sup>, Wen-tung Wang<sup>2</sup>, Jason F. Smith<sup>3</sup>, Joelle E. Sarlls<sup>5</sup>,  
Oluwole Awosika<sup>1</sup>, John A. Butman<sup>2,4</sup>, Barry Horwitz<sup>6</sup>, Leonardo G. Cohen<sup>1</sup>

**\* Co-first authorship**

**Affiliations:**

1. Human Cortical Physiology and Neurorehabilitation Section, National Institute of Neurological Disorders and Stroke, National Institutes of Health, Bethesda, MD 20892, U.S.A.
2. Center for Neuroscience and Regenerative Medicine, Uniformed Services University of Health Sciences, Bethesda, MD 20814, U.S.A.
3. Department of Psychology, University of Maryland College Park, MD, 20742-4411, U.S.A.
4. Radiology and Imaging Sciences, Clinical Center, National Institutes of Health, Bethesda, MD 20892, U.S.A.
5. NIH MRI Research Facility, National Institute of Neurological Disorders and Stroke, National Institutes of Health, Bethesda, MD 20892, U.S.A.
6. Section on Brain Imaging and Modeling, National Institute of Deafness and Other Communication Disorders, National Institutes of Health, Bethesda, MD 20892, U.S.A.

**Corresponding author:**

Benjamin Xu, Ph.D.  
7D51 Bldg 10 Center Dr.  
Human Cortical Physiology and Neurorehabilitation Section  
The National Institute of Neurological Disorders and Stroke  
The National Institutes of Health  
Bethesda, MD 20892  
Tel: 301-402-7968  
Fax: 301-480-2286  
Email: [benxu1@mail.nih.gov](mailto:benxu1@mail.nih.gov)

Running title: Task-free connectivity and efficiency of stopping

1 Previous work using transcranial magnetic stimulation (TMS) demonstrated that the right pre-  
2 supplementary motor area (preSMA), a node in the fronto-basal-ganglia network, is critical for  
3 response inhibition. However, TMS influences interconnected regions, raising the possibility of  
4 a link between the preSMA activity and the functional connectivity within the network. To  
5 understand this relationship, we applied single-pulse TMS to the right preSMA during  
6 functional magnetic resonance imaging when the subjects were at rest to examine changes in  
7 neural activity and functional connectivity within the network in relation to the efficiency of  
8 response inhibition evaluated with a stop-signal task. The results showed that preSMA-TMS  
9 increased activation in the right inferior-frontal cortex (rIFC) and basal ganglia and modulated  
10 their task-free functional connectivity. Both the TMS-induced changes in the basal-ganglia  
11 activation and the functional connectivity between rIFC and left striatum, and of the overall  
12 network correlated with the efficiency of response inhibition and with the white-matter  
13 microstructure along the preSMA – rIFC pathway. These results suggest that the task-free  
14 functional and structural connectivity between the rIFC and basal ganglia are critical to the  
15 efficiency of response inhibition.

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21 Keywords: concurrent, TMS, fMRI, resting, stop-signal

22 The ability to stop an on-going action quickly when it is no longer appropriate is an important  
23 part of the human executive control function (Logan and Cowan, 1984; Miyake and Friedman,  
24 2012). This ability may be significantly impaired with brain disorders and lesions that involve  
25 the frontal and basal ganglia system (Aron et al., 2003, Nachev et al., 2007, Sumner et al.,  
26 2007, Correa et al., 2010, Dalley et al., 2011, Smith et al., 2011, Sebastian et al., 2012, Benis  
27 et al., 2014). Accumulating evidence indicates that such rapid stopping of an on-going action  
28 relies on the fronto-basal-ganglia network, including the right inferior frontal cortex (rIFC), and  
29 the right pre-supplementary motor areas (preSMA) (Miller and Cohen, 2001, Sumner et al.,  
30 2007, Chambers et al., 2009, Aron, 2011).

31

32 Recent work has made significant effort in identifying specific functional roles of the nodes/  
33 regions within this inhibitory network. A number of studies have used transcranial magnetic  
34 stimulation (TMS), a non-invasive brain stimulation technique (Dayan et al., 2013), to tease  
35 apart the causal role of several cortical regions, particularly, the preSMA, and the rIFC during  
36 the stopping process (Chambers et al., 2006, Chen et al., 2009, Verbruggen et al., 2010, Cai  
37 et al., 2012, Obeso et al., 2013). These studies typically used a variant of the stop-signal task  
38 (SST), a well-established experimental paradigm for measuring the ability of stopping an on-  
39 going response (i.e., response inhibition) (Logan and Cowan, 1984, Logan et al., 1984). In the  
40 SST, participants are instructed to respond as quickly as possible to the primary (or “go”)  
41 stimuli, but stop/withhold a response when a stop-signal (either an auditory tone or a visual  
42 cue) appeared shortly after the onset of the “go” stimulus in a small proportion of the trials. The  
43 efficiency of response inhibition is estimated by the stop-signal response time (SSRT)  
44 (Verbruggen and Logan, 2008). However, the observed TMS effects on response inhibition are

45 unlikely to be limited to the targeted regions (Obeso et al., 2013, Zandbelt et al., 2013,  
46 Watanabe et al., 2015). Studies applying TMS to the right preSMA or rIFC induced changes in  
47 cortical excitability of the primary motor cortex (M1) assessed by motor-evoked potentials  
48 (Mars et al., 2009, Neubert et al., 2010). Using repetitive TMS (rTMS) over the rIFC or preSMA  
49 prior to fMRI scans (i.e., offline rTMS), Zandbelt et al (2013) and Watanabe et al (2015)  
50 showed that the stimulation altered activation patterns in the basal ganglia and the  
51 supplementary motor complex (SMA), and that significant changes in these regions were  
52 predictive of the SSRT during the stop-signal task performance. Although these studies have  
53 shown that offline TMS (i.e., stimulation over minutes prior to fMRI) induced changes in  
54 network properties over time, to what extent TMS induces immediate changes in patterns of  
55 neural activity and task-free functional connectivity within the fronto-basal-ganglia network  
56 remains unknown.

57

58 The objective of this study was to examine immediate online changes in neural activity and  
59 task-free functional connectivity within the fronto-basal-ganglia network induced by TMS  
60 modulation of the right preSMA and the relation of these changes to the efficiency of response  
61 inhibition. In addition, we examined whether these changes may, in part, reflect differences in  
62 anatomical connectivity that can account for the efficiency of response inhibition (King et al.,  
63 2012, Rae et al., 2015). We applied single-pulse TMS during fMRI scans while the subjects  
64 were at rest (i.e., online or concurrent TMS-rfMRI). Concurrent TMS-rfMRI offers a window for  
65 the observation of changes in neural activity independent of task performance and without  
66 compensatory neural adjustments as likely induced in offline rTMS studies (Siebner et al.,  
67 2009, Bestmann and Feredoes, 2013). It allows not only the observation of immediate changes

68 in neural activity induced by TMS in remote regions, but also the extent to which TMS affects  
69 the network properties including functional connectivity (i.e., temporal coupling of activation)  
70 between distant regions (Horwitz, 2003). We applied single pulse TMS at three different  
71 intensities (i.e. high=120%, medium=80%, and low=40% of the individual motor threshold) to  
72 the right preSMA, a crucial node in the network. The efficiency of response inhibition was  
73 assessed using a stop-signal task separately from the concurrent TMS-rfMRI session (see  
74 Figure 1 and detailed descriptions of the task and TMS setup in Methods and Materials).

75

76 We expected that changes in the neural activity and the strength of functional connectivity  
77 within the network under high-intensity TMS would be correlated with the ability to stop an on-  
78 going response (SSRT). We also expected that TMS-induced changes in functional  
79 connectivity would likely be correlated with individual differences in anatomical connectivity  
80 that can account for response inhibition efficiency.

81

## 82 **Materials and Methods**

83 Twenty-two healthy subjects (10 males and 12 females) were enrolled in this study. Five  
84 subjects were excluded due to significant scan artifacts and data acquisition problems.

85 Seventeen healthy subjects (7 males and 10 females; mean age = 23.7 [ $\pm$ 2.7]) were included  
86 in the final data analysis. All participants had a normal structural MRI, neurological  
87 examination, and were right-handed based on the evaluation with the Edinburgh Handedness  
88 Inventory (Oldfield, 1971). All subjects gave their written informed consent to participate in the  
89 study, which was approved by the Combined Neuroscience Institutional Review Board at the  
90 National Institutes of Health (NIH) and in accordance with the Declaration of Helsinki.

91 Participants received monetary compensation for their time participating in the study.

92

93 Apparatus and procedure. The fMRI scans were performed on a 3.0 T PET/MRI scanner  
94 (Biograph mMR software VB17P, Siemens, Erlangen, GER) while the participants were at rest  
95 (henceforth, rfMRI). TMS was applied using a Magstim Super Rapid<sup>2</sup> magnetic stimulator  
96 (Magstim Company Limited, Whiteland, UK). A Magstim MRI compatible 70 mm TMS coil was  
97 mounted on an in-house built MR compatible TMS-coil holder and connected to the Magstim  
98 stimulator outside the scanner room through an RF waveguide and with a custom-made ferrite  
99 sleeve. The TMS-coil holder included a 10 inch-diameter birdcage fitted with two multi-element  
100 matrix MR coils (mMR Body TIM Coils) as the MR signal receiver. Each of the matrix coils  
101 included six coil elements with an integrated pre-amplifier. Four rfMRI scans (156 volumes per  
102 scan) were acquired using a gradient echo-planar-Imaging (EPI) sequence with a volume TR  
103 of 2000 ms followed by a 300 ms pause at the end of each TR (other parameters: TE = 25 ms,  
104 flip angle = 90°, phase encoding = P -> A; FOV = 24 cm, acquisition matrix = 64 x 64, slice  
105 thickness = 4 mm, and 34 axial slices with interleaved acquisition). Single-pulse TMS was  
106 delivered 150 ms after the onset of the 300 ms pause period (see Figure 1a). To monitor any  
107 potential shift of TMS-coil position throughout the scan session, three radiographic markers  
108 were placed on each subject's head in addition to head restraints (subject's head was strapped  
109 to the TMS-coil holder to insure a direct contact with the TMS coil and minimize head  
110 movement). A short (< 15 sec) marker-alignment scan (TR = 330 ms, TE = 1.33 ms, flip angle  
111 = 15°, FOV = 22 cm, slice thickness = 2 mm, slices = 80 per slab, acquisition matrix = 256 x  
112 256) was acquired immediately before and after the four EPI scans. These marker scans were  
113 used to provide an additional estimate of the shift in the head position relative to the TMS-coil

114 at the end of the scan session (see Figure 1 in Supplementary material for examples of marker  
115 locations and images of the marker-alignment scans from a representative participant. Also  
116 see Table for MR signal quality indexes). On average, the subjects' head movement was  
117 minimum (translation:  $x < 0.1$  mm [ $\pm 0.2$ ],  $y < 0.3$  mm [ $\pm 0.5$ ],  $z < 0.2$  mm [ $\pm 0.6$ ]; and rotation:  $<$   
118  $0.01$  mm [ $\pm 0.01$ ] in x, y, z directions). A gradient echo EPI fieldmap and a high resolution  
119 ( $1 \times 1 \times 1$  mm) T1-weighted anatomical image were also acquired (TR = 2900 ms, TE = 3.03 ms,  
120 TI = 1100 ms, FOV = 256 mm, flip angle =  $7^\circ$ , acquisition matrix = 256 x 256, slices = 176 per  
121 3D slab, slice thickness = 1 mm) for unwarping and normalizing the EPI images to a template  
122 brain. In addition, diffusion tensor imaging (DTI) data were acquired for each participant with  
123 the following scan parameters: TR = 1700 m, TE = 98 ms, FOV = 256 mm, acquisition matrix =  
124  $128 \times 128$ , slice thickness = 2 mm, 90 slices without gap, acceleration factor = 2, 10 volumes of  
125 b value = 0 s/mm<sup>2</sup>, 10 diffusion directions with b value = 300 s/mm<sup>2</sup>, and 60 diffusion directions  
126 with b value = 1100 s/mm<sup>2</sup>. In addition, a T2-weighted scan with Fast Spin Echo sequence and  
127 fat suppression (TR = 5000 ms, TE = 83 ms, FOV = 220 mm, acquisition matrix = 256 x 204,  
128 flip angle =  $120^\circ$ , slices = 90, slice thickness = 2 mm) was acquired for each subject at 1.7 mm  
129 isotropic voxels to be used as a structural target in post-processing.

130

131 The TMS stimulation site (i.e. right preSMA) was determined for each participant based on the  
132 participant's own T1 anatomical MR images using a stereotactic navigation system (Brainsight  
133 by Rogue research, Inc., Montreal, Canada). It has been shown that preSMA stimulation  
134 induces little discomfort and minimal facial muscle movement relative to other regions (e.g.,  
135 IFC) (Sandrini et al., 2011). The center of the TMS coil was placed over the right preSMA, 1  
136 cm anterior to the vertical line from the anterior commissure (AC) perpendicular to the anterior

137 – posterior commissure line in the sagittal plane (see Figure 1a and also Tremblay and  
138 Gracco, 2009). The localization of the center of the TMS coil to the target (the right pre-SMA in  
139 native space:  $x = 10$ ,  $y = 10$ ) was carried out using the subjects' own MR T1 structural image  
140 and the stereotaxic neuronavigation system "Brainsight." The distance between the TMS target  
141 location on the scalp and the vertex (Cz) was also calculated for each subject (mean distance  
142 = 4.5 cm [ $\pm 0.14$ ]) and used to mark the TMS target site on a swim cap worn by participants  
143 during the concurrent TMS-rfMRI session. This distance ( $> 4$  cm) is consistent with previous  
144 studies showing the approximate distance between the vertex and the pre-SMA (Picard and  
145 Strick, 1996, Mars et al., 2009, Arai et al., 2011). The TMS coil was oriented in line with the  
146 longitudinal fissure and with the coil handle pointed posteriorly. Prior to the experiment, the  
147 resting motor threshold (rMT) of each participant was determined using the same MRI  
148 compatible TMS coil. The individual rMT was set as the lowest intensity of TMS stimulation  
149 applied over the left primary motor cortex that was capable of evoking a visible contraction in  
150 the relaxed right first dorsal interosseous muscle on at least 5 out of 10 consecutive  
151 stimulations (Pridmore et al., 1998). The average rMT was 66.5% of the maximum stimulator  
152 output. In order to examine TMS specific effects on the BOLD signal change, three different  
153 stimulation intensities were used during the TMS- rfMRI scans: 40%, 80%, and 120% of each  
154 participant's own rMT. Thirty single-pulse TMS (10 for each intensity) were delivered semi-  
155 randomly with a jittered inter-stimulus-interval (ISI range: 9.2 – 13.8 seconds) during each  
156 rfMRI scan. Four scan runs ( $< 6$  min each) were acquired for each subject with a total of 120  
157 TMS pulses (10 x 4 pulses per TMS intensity).

158



159 Behavioral task and data analysis. Subjects performed in a separate experimental session, at  
160 least 24 hours prior to the TMS-rfMRI session, a variant of the stop-signal task (SST) used in a  
161 previous study (Xu et al., 2015). They were instructed to stop their response when a visual cue  
162 (i.e., a stop-signal) appeared after the response (“go”) stimulus onset. The stimulus was either  
163 a left or right pointing arrow with a “+” sign in the middle (see Figure 1b). Participants were  
164 instructed to make a response (i.e., a “go” response) as quickly as they could according to the  
165 arrow direction by pressing either the left or the right key on a response box. For 25% of the  
166 trials, the “+” sign (i.e., the stop-signal) turned red after the stimulus onset with a short delay  
167 (i.e., the stop-signal delay or SSD). The SSD was dynamically controlled based on whether a  
168 successful (stop-inhibit) or an unsuccessful (stop-respond) response was made (Verbruggen  
169 and Logan, 2008). The SSD was set at 100 msec (the shortest SSD) for the first Stop trial and,  
170 then a staircase tracking method was implemented such that for every successfully-stopped  
171 (i.e., Stop-inhibit) response, the SSD was increased by 50 msec to make it harder to stop on  
172 the next trial, and for each fail-to-stop (i.e., Stop-respond) trial, the SSD decreased by 50  
173 msec. The longest possible SSD was 450 msec. The stop-signal response time (SSRT), a  
174 measure of the efficiency of response inhibition, was estimated for each participant by  
175 subtracting the mean SSD from the  $n^{\text{th}}$  (where  $n$  is the percentile corresponding to the  
176 probability of the Stop-respond trials) fastest RT of the primary “go” responses (Logan, 1994).  
177 The SSRT was then correlated with the TMS-induced rfMRI BOLD activation, the strength of  
178 functional connectivity of the fronto-basal-ganglia network, and with the DTI white-matter  
179 microstructure indexes (i.e., the fractional anisotropy [FA], fiber track counts, and averaged  
180 fiber length). Recent studies have shown that these microstructure indexes including fiber  
181 bundles and fiber length may be associated with cognitive functions (Marnier et al., 2003,

182 Behrman-Lay et al., 2014). One participant had unusually short SSRT (88 ms) although all  
183 other scores were in the normal range. Therefore, the SSRT of this participant was excluded in  
184 all correlation/regression analyses to avoid statistical bias.

185

186 MRI data processing and analysis. The rfMRI data were processed and analyzed using the  
187 SPM8 software (the Wellcome Department of Imaging Neuroscience, University College  
188 London, UK). All images were EPI distortion corrected with a gradient echo EPI fieldmap  
189 collected during the concurrent TMS-rfMRI session, and slice-timing corrected, realigned, and  
190 coregistered with the subject's own high resolution T1 anatomical image. All subjects' T1  
191 images were combined to generate a T1 template using the DARTEL software and  
192 procedures, and normalized to the MNI (Montreal Neurological Institute, Canada) template.  
193 The normalization parameters from each subject were then applied to the normalization of the  
194 subject's own EPI images. The normalized EPI images were smoothed using an 8x8x8 mm  
195 FWHM kernel. At the first level analysis, the design matrix included four scan runs/sessions  
196 and three TMS intensity conditions (Low [40%], Mid [80%], and High [120%]) plus six motion  
197 parameters as confounds. The fMRI activation was modeled using the canonical hemodynamic  
198 response function (HRF) with temporal and dispersion derivatives. The data were high-pass  
199 filtered at 128 Hz and the epoch/event duration was set at 1 sec. Contrasts (i.e., t-tests: Low –  
200 baseline, Mid – baseline, and High – baseline) from the first level individual analysis were fed  
201 into the second (group) level analysis using one-way within-subject ANOVAs. Analyses with  
202 the whole brain and a priori regions of interest (ROIs) with a binary mask that included the  
203 fronto-basal-ganglia inhibitory network (i.e., the SMA, preSMA, right IFC, and the basal  
204 ganglia) were performed (the ROI mask was created in the MNI template space using the

205 WFU PickAtlas software by the Functional MRI Laboratory at the Wake Forest University  
206 School of Medicine, NC). Additional contrasts (t tests) were performed to examine the extent of  
207 changes in distal activation induced by the three TMS intensities with focus on brain regions  
208 showing a monotonic increase or decrease in BOLD signal. All statistical contrasts were  
209 corrected for multiple comparisons using the topological false-discovery rate (FDR) (Chumbley  
210 and Friston, 2009, Chumbley et al., 2010) and all reported significant voxels survived a  
211 corrected threshold of  $p < 0.01$ . Voxels showing a significant monotonic change in the preSMA,  
212 SMA proper, rIFC, and the basal ganglia of the network were extracted (8 mm diameter sphere  
213 centered on the peak of each cluster) to further examine the relationship between the TMS-  
214 induced BOLD signal change and the efficiency of response inhibition (i.e., the SSRT).

215

216 To examine the effect of TMS intensity on functional connectivity within the inhibitory network  
217 and the extent to which the connectivity strength may be associated with the efficiency of  
218 response inhibition, we performed regional functional connectivity analyses. Partial Least  
219 Square Regression (PLSR) analysis (McIntosh and Lobaugh, 2004, Krishnan et al., 2011) was  
220 performed to estimate the coupling of the BOLD signals between seven regions in the  
221 inhibitory network: the right preSMA, rIFC opercularis (rIFC<sub>op</sub>), right striatum (rStri), left  
222 striatum (lStri), left pallidum (lPal), right pallidum (rPal), and bilateral subthalamic nuclei (STN)  
223 (Figure 4a). The right preSMA was defined as a sphere (8mm in diameter) centered in the  
224 TMS targeted region (MNI xyz = 10, 10, 50). The remaining regions were defined using binary  
225 masks created in the MNI template space using the WFU PickAtlas software. The connectivity  
226 analysis between two additional regions outside the network (i.e., the right dorsal lateral  
227 prefrontal cortex [rDLPFC], and the right inferior-parietal cortex [rIPC]) was also included as a

228 control. The control region, rDLPFC (8mm sphere, MNI coordinate: xyz = 37, 33, 32), was  
229 determined based on previous studies showing its functional connection with the rIPC in  
230 executive control processes (Cieslik et al., 2013). It is adjacent to the fronto-basal-ganglia  
231 network and anatomically connected with the right preSMA (Nachev et al., 2008), but its  
232 connection with the rIPC is not response inhibition specific. We expected that TMS-induced  
233 changes in the connectivity between the rDLPFC and rIPC, if any, would not be predictive of  
234 the efficiency of response inhibition. For each subject, trial-based regression coefficients (i.e.  
235 beta series) (Rissman et al., 2004) from each voxel were extracted from the first level analysis  
236 for each TMS intensity level. PLSR was then used to estimate the connectivity between the  
237 right preSMA and the other regions as well as between rIFCop and the ROIs in the basal-  
238 ganglia (i.e., rStri, lStri, rPal, lPal, and STN), and the connectivity of the control connection  
239 between the rDLPFC and rIPC. The regression coefficient between the first extracted PLS  
240 temporal components for each analysis was used as an index of inter-regional connectivity.  
241 The low intensity TMS condition served as a baseline control for nonspecific effects of TMS as  
242 done in previous concurrent TMS-fMRI studies (Feredoes et al., 2011, Heinen et al., 2014).  
243 The analysis of the effect of TMS intensity on changes in connectivity focused on the maximal  
244 difference between the High and Low TMS conditions using planned t-tests with the standard  
245 Fisher's z transformed correlation coefficients of the connectivity index. Linear regression  
246 analyses were also performed between the averaged connectivity index for the two TMS  
247 intensity conditions and the efficiency of response inhibition (SSRT) to examine the extent to  
248 which the task-free connectivity within the network was predictive of the SSRT.

249

250 The DTI data were preprocessed with the TORTOISE software (by the Pediatric Neuroimaging  
251 Diffusion Tensor MRI Center at the National Institutes of Health, [www.tortoisedti.org](http://www.tortoisedti.org)) for  
252 volume realignment, eddy current correction, EPI distortion correction, and non-linear tensor  
253 fitting (Basser et al., 1994, Pierpaoli et al., 2010). The preprocessed FA maps were normalized  
254 to the MNI (Montreal Neurological Institute, CA) template space using the TBSS (Tract-Based  
255 Spatial Statistics) nonlinear registration procedure of the FSL software (by the FMRIB Analysis  
256 Group, University of Oxford, UK). Deterministic tractography was performed using the Diffusion  
257 Toolkit (DTK) software (by the TrackVis.org, Martinos Center for Biomedical Imaging,  
258 Massachusetts General Hospital) with normalized tensor images in the MNI space. The fiber  
259 tracts were determined using the FACT method (fiber assignment by continuous tracking) with  
260 the termination angle set at 35 degrees to minimize false positives. Both the FA and DTK track  
261 maps were then used to examine the white-matter microstructure and its relation to the  
262 efficiency of response inhibition and the TMS-induced change in functional connectivity. For  
263 the objectives of the study, we focused on the white-matter regions near the right preSMA (the  
264 locus of TMS) and rIFCop that are known to have direct fiber connections between the  
265 preSMA, rIFCop, and basal ganglia (Aron et al., 2007, Catani and Thiebaut de Schotten, 2008,  
266 Catani et al., 2012, King et al., 2012). Two seed ROI masks (8mm radius) were created  
267 between the right preSMA and rIFCop (see Figure 5) that have been shown to have major fiber  
268 bundle connections (see Catani et al., 2012; Leunissen et al., 2013). The two seed ROIs (in  
269 MNI space: ROI 1 [near right preSMA] = 12, 16, 50; ROI 2 [near rIFCop] = 30, 8, 22) were  
270 placed in the individual DTK track maps. The estimations of fiber counts and fiber length were  
271 determined by constraining/including only fibers that originated in both seed ROIs. In addition,  
272 two mirror seed ROIs and analyses were applied to the left hemisphere to examine whether

273 there was any hemispheric specificity in relation to the TMS-induced change of functional  
274 connectivity.

275

## 276 **Results**

277 Behavioral performance of the stop-signal task. On average, the participants made 49% ( $\pm$   
278 4.7%) of the stop-inhibit (i.e., successfully stopped) responses, the mean SSRT = 195 ( $\pm$  37)  
279 ms (within the normal range, see Logan, 1994), the mean SSD = 218 ms ( $\pm$  57), the observed  
280 average Stop-respond (i.e., fail-to-stop response) RT = 378 ( $\pm$  81) ms, the estimated Stop-  
281 respond RT = 412 ( $\pm$  34) ms, and the averaged “go” RT = 415 ms ( $\pm$  35). Consistent with  
282 previous studies using the stop-signal task, the correlation between the SSRT and the “go” RT  
283 was not significant ( $R^2 = 0.18$ ,  $p < 0.1$ ).

284

285 TMS-rfMRI results. Figure 2 shows the results of the analysis using a priori ROIs within the  
286 fronto-basal-ganglia network (also see the whole brain results in Figure 2 of the  
287 Supplementary Material). The results showed that multiple regions within the network had a  
288 significant ( $FDR < .01$ ) monotonic increase of BOLD signal change as the TMS intensity  
289 increased. These regions included the right preSMA, SMA proper, rIFC (opercularis), right  
290 caudate, putamen, pallidum, and the left caudate. Except for the right preSMA and the SMA  
291 proper which were directly under or very close to the TMS coil, all regions showed a significant  
292 monotonic increase in the BOLD signal. Two one-way within-subject ANOVAs performed  
293 separately for these regions showed a significant main effect of TMS intensity (increase in  
294 signal:  $F_{(2,32)} = 22.1$ ,  $MSe = 7.8$ ,  $p < .0001$ ; decrease in signal:  $F_{(2,32)} = 21.2$ ,  $MSe = 9.5$ ,  $p <$   
295  $.0001$ ). Post hoc Scheffe’s F test ( $p < .05$ ) showed that the BOLD signal change (%) under the

296 three TMS-intensity conditions differed significantly from each other (positive trend: Low TMS =  
297 -1.32%, Mid TMS = 0.16%, High TMS = 1.55%; negative trend: Low TMS = 1.42%, Mid TMS =  
298 -0.56%, High TMS = -3.43%). Separate one-way within-subject ANOVAs for each of these a  
299 priori ROIs (with TMS intensity as the within-subject factor) showed a significant main effect of  
300 TMS intensity for all these regions. Post hoc F test ( $p < .05$ ) showed significant differences  
301 between the TMS conditions for each of these regions within the network (see Figure 2 for  
302 details). Figure 3 further shows that in the High and the Mid TMS conditions, the BOLD signal  
303 change in the basal-ganglia regions (the left caudate and the right pallidum) had significant  
304 correlations with the SSRT (the right pallidum: High TMS  $t_{14} = -3.18$ ,  $R^2 = 0.42$ ,  $p < 0.01$ ; Mid  
305 TMS  $t_{14} = -2.8$ ,  $R^2 = 0.36$ ,  $P < 0.05$ ; and the left caudate: High TMS  $t_{14} = -2.43$ ,  $R^2 = 0.30$ ,  $p <$   
306  $0.05$ ). When all the basal-ganglia regions were combined, the BOLD signal change again  
307 showed significant correlation with the SSRT (High TMS:  $t_{14} = -2.56$ ,  $R^2 = 0.32$ ,  $p < 0.03$ ; Mid  
308 TMS:  $t_{14} = -2.18$ ,  $R^2 = 0.25$ ,  $p < 0.05$ ), indicating that, at least in the High and Mid intensity  
309 conditions, TMS may induce significant change in neuronal activity distal to the stimulation site  
310 within the inhibitory network that are predictive of response-inhibition efficiency.

311

312 In addition to the TMS intensity effect on the BOLD signal change and its correlation with the  
313 SSRT, Figure 4b shows that the overall connectivity of the High TMS condition (0.76) was  
314 significantly higher than the Low (0.70) TMS condition (paired t-test:  $t_{16} = 1.95$ ,  $p < .05$ ).  
315 Planned t-tests for each of the connections between the High and Low TMS intensities showed  
316 a significant difference in the connectivity of preSMA - rIFCop ( $t_{16} = 2.23$ ,  $p < .03$ ), rIFCop -  
317 lSTri ( $t_{16} = 1.8$ ,  $p < .05$ ), rIFCop - rPal ( $t_{16} = 1.95$ ,  $p < .04$ ), rIFCop - lPal ( $t_{16} = 1.87$ ,  $p < .04$ ),  
318 and of rIFCop - STN ( $t_{16} = 1.81$ ,  $p < .05$ ). The connectivity for these connections was

319 significantly stronger in the High TMS condition (.90, .84, .77, .79, and .79) than the Low  
320 condition (.79, .77, .70, .71, and .68). Separate linear regression analyses using the overall  
321 (averaged) connectivity of all connections as the predictor variable showed a significant  
322 negative correlation with the SSRT ( $t_{14} = -2.12$ ,  $R^2 = .24$ ,  $p < .05$ ) in the High but not the Low  
323 TMS condition ( $R^2 = .03$ ). These results indicated a significant relationship between the task-  
324 free network connectivity and the efficiency of rapid response inhibition.

325

326 We further examined the relationship between the SSRT and the connectivity of each of the  
327 connections that showed significant TMS effect (Figure 4a, thicker lines). The results of a  
328 multiple regression analysis that included all these five connections showed that only the  
329 connectivity of rIFCop - lStri accounted for a significant amount of the variance in the SSRT ( $t_{10}$   
330  $= -2.50$ ,  $R^2 = .38$ ,  $p < .03$ ) (see Table 1). Simple regression analysis again showed a significant  
331 negative correlation between the SSRT and the rIFCop – lStri connectivity ( $t_{14} = -2.25$ ,  $R^2 =$   
332  $.27$ ,  $p < .05$ ). As the connectivity increased, the SSRT decreased (see Figure 4). None of these  
333 connectivity measures was significantly correlated with the “go” RT which is not response  
334 inhibition specific. There was also no significant correlation between the SSRT and the control  
335 connection rDLPFC - rIPC, a link outside the fronto-basal-ganglia network. The High and Low  
336 TMS intensity did not have significant effect on the strength of this connection either even  
337 though the rIPC was sensitive to the TMS intensity (see Figure 2 in the Supplementary  
338 Material for results from the whole-brain analysis).

339

340

341

-----  
Insert Table 1 about here  
-----



342 Based on the results of the TMS-intensity effect on the functional connectivity and its relation  
343 to the response-inhibition efficiency (SSRT), we further examined individual differences in the  
344 white-matter microstructure (reflected in the fiber counts, fiber length, and FA) and its relation  
345 to functional connectivity and response-inhibition efficiency. Pearson correlations were  
346 performed between the fiber counts or length and all the connections (i.e., preSMA - rIFCop,  
347 rIFCop - lStri, rIFCop - rPal, rIFCop - lPal, rIFCop - STN, and the overall network  
348 connectivity) that showed significant change in functional connectivity under the High TMS  
349 condition. The results (Figure 5a) showed significant positive correlations between the fiber  
350 length and functional connectivity of the overall network connectivity ( $p < .01$ ,  $R^2 = 0.35$ ),  
351 rIFCop - lStri ( $p < .05$ ,  $R^2 = 0.24$ ), and rIFCop - rPal ( $p = .05$ ,  $R^2 = 0.23$ ). As the fiber length  
352 increased, functional connectivity increased. There was also a significant negative correlation  
353 between the fiber length and the SSRT ( $t_{14} = -2.53$ ,  $R^2 = .31$ ,  $p < .03$ ), that is, the longer fiber  
354 length was associated with more efficient response-inhibition process (or shorter SSRT). There  
355 was no significant correlation between fiber length and the “go” RT ( $p < .2$ ), nor were there  
356 significant correlations between the fiber counts and functional connectivity or the behavioral  
357 measures. The fiber counts and length indexes from the left hemisphere also did not correlate  
358 with the TMS-induced functional connectivity or the SSRT. Here, we would like to add a caveat  
359 of caution in regard to the results of the relationship between the functional and structural  
360 connectivity. Although the less stringent statistical correlational analyses revealed significant  
361 relationships between the functional and structural connectivity, this study included a relatively  
362 small sample of subjects. Therefore, the statistical approach is rather exploratory and,  
363 consequently, the results should also be viewed as such. Future studies with larger samples  
364 may provide more conclusive analysis.

365

366 In addition to the fiber track analysis, we extracted averaged FA values from the same seed  
367 ROIs in the right hemisphere using the coregistered and normalized FA maps (Figure 5b). The  
368 results of the linear regression analyses showed significant correlations between the mean FA  
369 values of both these ROIs and the SSRT (ROI 1:  $t_{14} = -2.2$ ,  $p < .05$ ,  $R^2 = .26$ ; ROI 2:  $t_{14} = -2.52$ ,  
370  $p < .03$ ,  $R^2 = .31$ ). The FA and the SSRT results indicated a significant relationship between  
371 the white-matter microstructure and the efficiency of response inhibition. Again, no significant  
372 correlations were observed between the FA values and the “go” RT.

373

#### 374 **Discussion**

375 In the current study, we applied single-pulse TMS at three different intensities to the right  
376 preSMA during fMRI scans while the subjects were at rest. This task-free concurrent TMS-  
377 rfMRI revealed, for the first time, immediate effects of TMS on neural activity and task-free  
378 functional connectivity within the fronto-basal-ganglia network, and their relation to the  
379 efficiency of response inhibition (SSRT) that are not confounded by compensatory neural  
380 adjustments or task-related neural activity.

381

382 The results of the study showed TMS-induced BOLD signal increase in multiple brain regions  
383 within the inhibitory network including the rIFC, caudate, putamen, and the right pallidum. The  
384 BOLD signal change induced by high-intensity TMS in the right pallidum and left caudate also  
385 correlated with the SSRT, but not with the task response (or “go” response) in general. These  
386 results suggest that the widespread effect of preSMA TMS, at least at the suprathreshold level,  
387 on the patterns of neural activity beyond the targeted region (i.e., the right preSMA) was

388 immediate and related to the task-free neural activity associated with response inhibition.

389 Although we cannot rule out completely that the observed effect was not due to subjects'  
390 anticipation of the onset of the various TMS pulses, all things being equal, such anticipatory  
391 activity would be constant for all three types of stimuli most of the time, at least, with the  
392 jittered stimulus presentation timing.

393

394 More importantly, our results also showed that relative to the Low TMS condition, High  
395 preSMA-TMS induced immediate changes in the coupling of the rfMRI activation (i.e.,  
396 functional connectivity) between preSMA and rIFCop, and between the rIFCop and the basal  
397 ganglia (i.e., striatum, pallidum, and STN). In the High TMS condition, the SSRT also  
398 significantly correlated with the connectivity between the rIFCop and left striatum, and with the  
399 mean connectivity of all the connections combined, indicating the impact of preSMA TMS on  
400 the task-free functional connectivity of the network as a whole and the response inhibition  
401 process (also see Kahan et al., 2014 for STN stimulation). The preSMA-TMS effect on  
402 functional connectivity appeared to be more specific to response inhibition relative to the “go”  
403 response that did not require inhibition. No significant correlations were observed between the  
404 functional connectivity and “go” RT. The functional connectivity between the rDLPFC - rIPC, a  
405 control link outside the fronto-basal-ganglia network, also did not correlate with the SSRT,  
406 even though the rIPC activation was sensitive to the TMS intensity.

407

408 These results indicate a functional link between the preSMA and remote activation within the  
409 network, and between the preSMA and the task-free functional connectivity of the network. In  
410 addition, the preSMA TMS appeared to affect directly its functional connectivity with the rIFCop

411 more than with other regions within the network. However, the change in the task-free  
412 functional connectivity between the preSMA and rIFCop coincided with significant changes in  
413 the functional connectivity between the rIFCop and the basal ganglia (i.e., lStri, lPall, rPall, and  
414 STN). Although the effect of the preSMA TMS on response inhibition is likely the result of  
415 complex interactions among varying levels of altered neuronal activity in multiple  
416 regions/nodes within the network, we postulate that functional connectivity between the  
417 preSMA and rIFCop itself significantly influences the functional connectivity within the network,  
418 particularly, between the rIFCop and the basal ganglia. It is possible that in the context of  
419 making a rapid stopping response, preSMA communicates directly with the rIFCop as well as  
420 STN, which in turn, induces coordinated neural activity between the rIFCop and the basal  
421 ganglia to achieve the rapid stopping response. Consequently, the functional connectivity  
422 between the rIFCop and striatum, and the BOLD signal change in the basal ganglia were  
423 predictive of the SSRT. This is consistent with previous work showing an interdependent  
424 relationship between the preSMA and rIFCop, and the importance of the rIFCop during the  
425 inhibition process (Duann et al., 2009, Neubert et al., 2010, Zandbelt and Vink, 2010, Zandbelt  
426 et al., 2013, Aron et al., 2014, Picazio et al., 2014).

427

428 The observed changes in the task-free functional connectivity and their relation to the stopping  
429 response suggest a possible mechanism underlying the efficiency of response inhibition. We  
430 speculate that the strength of the task-free functional connectivity between the nodes within  
431 the network may be critical for regulating the efficiency of the stopping process. Differential  
432 effects of TMS loci (e.g., right preSMA vs rIFC) on the task-free functional connectivity may  
433 explain why previous studies applying TMS to the preSMA and the rIFC resulted in

434 inconsistent observations regarding the role of these nodes in the stopping process  
435 (Rushworth et al., 2002, Chambers et al., 2006, Chambers et al., 2007, Chen et al., 2009,  
436 Verbruggen et al., 2010, Cai et al., 2012, Obeso et al., 2013, Zandbelt et al., 2013). Recent  
437 studies showed that suprathreshold TMS could significantly increase the power of the natural  
438 frequency of the electrophysiological oscillations associated with neural activity both local and  
439 remote to the stimulation site (Rosanova et al., 2009, Pellicciari et al., 2013, Kundu et al.,  
440 2014, Pripfl et al., 2014). Rosanova et al (2009) reported that single-pulse TMS over three  
441 separate cortical sites (Brodmann areas 19, 7, and 6) of the corticothalamic network induced  
442 local and long-range neural activity with beta, alpha, and gamma oscillations of the natural  
443 frequency range differentially associated with these regions. Picazio et al (2014) also reported  
444 that inhibiting a “no-go” response was associated with frequency oscillations at the beta range  
445 from the rIFC relative to the “go” response. Some evidence from intracranial  
446 electroencephalography studies indicated that specific neuronal oscillation frequencies (e.g.,  
447 the beta and gamma band) were directly associated with stopping responses (Swann et al.,  
448 2009, Swann et al., 2012). Swann et al (2012) reported that intracranial electric stimulation of  
449 the preSMA in a patient evoked strong local field potentials and an increase in the beta band  
450 frequency in the rIFC that was associated with the successful stopping responses. STN  
451 stimulation at rest has also been shown to induce beta oscillatory activity in the rIFC and  
452 modify effective connectivity (i.e., with causal influence) between multiple regions within the  
453 fronto-basal-ganglia network (Swann et al., 2011, Kahan et al., 2014). There is substantial  
454 evidence indicating a direct association and cognitive/functional relevance between the BOLD  
455 signal change and neuronal synchronization across a wide range of frequencies and frequency  
456 power (Scheeringa et al., 2011, Sadaghiani et al., 2012). Functional connectivity is likely

457 critical to cognitive processes including rapid response inhibition. If the preSMA TMS not only  
458 changes patterns of neural activity but also modifies the frequency power associated with the  
459 task-free functional connectivity of remote regions, it is likely that the TMS-induced activity in  
460 regions within the fronto-basal-ganglia network would affect the efficiency of response  
461 inhibition when the preSMA TMS is applied during experimental tasks. However, it would be  
462 important in future studies to determine how stimulation of other nodes in the network (e.g.,  
463 rIFC) may influence network dynamics.

464

465 Related to the influence of task-free functional connectivity on response inhibition, recent  
466 studies have also demonstrated some degree of correspondence between the functional and  
467 anatomical connectivity of the human brain (Baird et al., 2005, Rykhlevskaia et al., 2008,  
468 Honey et al., 2010, Johansen-Berg, 2010). The preSMA-TMS effect on network activity and  
469 response inhibition may also be influenced by the individual differences in the anatomical  
470 connectivity. It is known that preSMA has direct white-matter connections to the striatum and  
471 the IFC (Akkal et al., 2007, Nachev et al., 2008, Catani et al., 2012). All things being equal,  
472 cortical connectivity between these regions may influence the effect of stimulation of the  
473 preSMA on remote neural activity within the network. As discussed earlier, our results showed  
474 that the suprathreshold preSMA TMS induced significant changes in the functional connectivity  
475 between the preSMA and rIFC<sub>op</sub>, and between the rIFC<sub>op</sub> and the basal ganglia. Our DTI  
476 results provided further evidence that the effect of the preSMA TMS on the network may be, in  
477 part, attributable to the variability in the white-matter microstructure (i.e., FA and fiber length).  
478 The fact that fiber length and FA values along the DTI fiber tracks between the right preSMA  
479 and the rIFC were predictive of the SSRT and that fiber length was significantly correlated with

480 the task-free functional connectivity between the rIFCp and the basal ganglia, and with the  
481 connectivity of the network as a whole indicates the behavioral relevance of these white-matter  
482 pathways (also see King et al., 2012; Neubert et al., 2010; and Rae et al., 2015). These results  
483 document individual differences in the white-matter microstructures (also see Behrman-Lay et  
484 al., 2014) underlying the major pathways between the preSMA, rIFC, and the basal ganglia.  
485 **However, it should be kept in mind the limitations of the DTI measures and DTI-based**  
486 **tractography methods applied in the study (Thomas et al., 2014, Reveley et al., 2015),**  
487 **particularly the crossing fiber issue that would likely influence the DTI tractography,**  
488 **fiber counts, and FA measurements (Douaud et al., 2011). Future studies are needed to**  
489 **further disentangle the relationships between functional and structural connectivity and**  
490 **their relation to behavior.** We also cannot explain why the higher the FA values of the  
491 preSMA ROI was correlated with less efficient stopping (Figure 5), while the opposite is true  
492 with the FA values of the rIFC ROI. It is possible that the microstructure of the white matter  
493 underlying the preSMA alone does not help the stopping performance as the preSMA is also  
494 connected with the SMA proper which, in turn, highly connected with the motor cortex. It is  
495 possible that for the stopping response, higher cross-talk between the preSMA and SMA may  
496 impede rapid stopping of an already-initiated response. Future studies may further investigate  
497 the relationship between structural connectivity and TMS-induced changes in functional  
498 connectivity. The relationship between the structural and task-free functional connectivity is  
499 also relevant to the understanding of functional deficiency after traumatic brain injury (TBI)  
500 which has been shown susceptible to diffuse axonal injuries in the white matter (Johnson et al.,  
501 2013).

502

503 This concurrent TMS-rfMRI study revealed a link between the right preSMA and its task-free  
504 functional connectivity within the fronto-basal-ganglia network associated with rapid response  
505 inhibition. The preSMA TMS not only induced a widespread activation within the stopping  
506 network, but also modified the task-free functional connectivity within the network, particularly,  
507 between the rIFCp and left striatum that was predictive of the efficiency of response inhibition.  
508 The efficiency of response inhibition and functional connectivity of the network are also related  
509 to individual differences in the white-matter microstructures. These results showed a complex  
510 effect of preSMA TMS on the network activity, suggesting that the task-free functional and  
511 structural connectivity between the rIFCp and basal ganglia are critical to the efficiency of  
512 response inhibition.

513

514



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## Captions

1. Figure 1a shows the localization of the TMS target (i.e., the right preSMA) and a schematic illustration of the timing of the single-pulse TMS relative to the EPI acquisition sequence during the scans. Single-pulse TMS was delivered 150 ms after the onset of the silence period (300 ms). The rfMRI scans were acquired using a gradient echo-planar-Imaging (EPI) sequence with a TR of 2000 ms and a scanner silence period of 300 ms at the end of each TR. Figure 1b shows the stop-signal task applied in the study. The stop-signal delay (SSD) was dynamically controlled such that it increased 50 ms for every successful stopping (stop-inhibit) response and decreased 50 ms for each failed-to-stop (stop-respond) response.
2. Figure 2 shows the results of the TMS-intensity induced BOLD signal change with a binary mask that included a priori ROIs of the fronto-basal-ganglia network. The top-left figure shows the ROIs. All reported voxels survived corrections for multiple comparisons using the topological false discovery rate (FDR) with a threshold of  $p < 0.01$ . Voxels showing significant differences between the low and high TMS conditions were extracted with an 8 mm diameter sphere centered on the peak of each cluster. SMA = supplementary motor area; rIFCop = right inferior-frontal cortex opercularis; rCaud = right caudate; rPal = right pallidum; rPut = right putamen; \* = Scheffe's test,  $p < .05$ .
3. Figure 3 shows the results of linear regression analyses between the SSRT and the BOLD signal change (%) in the basal ganglia regions that also showed significant monotonic increase of TMS-intensity induced BOLD signal change. All the linear correlations between the SSRT

and the BOLD signal change in these regions were statistically significant ( $p < .05$ ). Right Pallidum (xyz): 16, 9 -5; Left Caudate (xyz): -16 26 3.

4. Figure 4 shows results of the analyses of functional connectivity. Figure 4a is a schematic illustration of the functional connections included in the study and the functional connectivity change (thicker lines) induced by the High TMS condition: right inferior-frontal cortex opercularis (rIFCop), right striatum (rStri), left striatum (lStri), right pallidum (rPal), left pallidum (lPal), and the subthalamic Nuclei (STN). Figure 4b shows: 1) the overall connectivity (all connections combined) in the High TMS relative to the Low TMS condition; 2) TMS-induced connectivity change in five connections: right preSMA – rIFCop, rIFCop – lStri, rIFCop – lPal, rIFCop – rPal, and rIFCop - STN. The figures at the bottom show negative correlations between the functional connectivity and the SSRT.

5. Figure 5 shows significant correlations between the white-matter microstructure (i.e., DTI fiber length and FA values), functional connectivity, and the SSRT. Figure 5a shows the relationship between the fiber length and the SSRT or task-free functional connectivity. Figure 5b shows the correlations between the FA values and the SSRT. The FA values were extracted from the individual normalized FA maps (in the MNI space) in two regions (4 mm diameter: ROI 1 [near right preSMA] = 12, 16, 50; ROI 2 [near rIFCop] = 30, 8, 22) centered on the seed ROIs used in the tractography and the origin of the fiber tracks. The track map in the figure was from a representative participant.